

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**Open to Public  
Inspection**A** For the 2016 calendar year, or tax year beginning and ending**B** Check if applicable:

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

**C** Name of organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Doing business as RIGHTS AND RESOURCES GROUP

Number and street (or P.O. box if mail is not delivered to street address)

2715 M STREET NW

Room/suite

300

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20007

**F** Name and address of principal officer: ANDY WHITE

SAME AS C ABOVE

**D** Employer identification number

20-3690821

**E** Telephone number

202-470-3900

**G** Gross receipts \$ 12,180,678.**H(a)** Is this a group return for subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: WWW.RIGHTSANDRESOURCES.ORG**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: 2005 **M** State of legal domicile: DC**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY		
	2	Check this box <input type="checkbox"/> If the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	11
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	10
	5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	0
	6	Total number of volunteers (estimate if necessary)	6	0
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year 16,500,618.	Current Year 12,176,973.
	9	Program service revenue (Part VIII, line 2g)	0.	0.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	3,633.	-9,037.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	9,962.	2,268.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	16,514,213.	12,170,204.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	6,661,897.	4,586,571.
Expenses	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,808,499.	3,939,981.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 43,715.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	4,729,962.	4,657,258.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	15,200,358.	13,183,810.
	19	Revenue less expenses. Subtract line 18 from line 12	1,313,855.	-1,013,606.
	Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year 7,478,984.
21		Total liabilities (Part X, line 26)	4,562,592.	2,871,342.
22		Net assets or fund balances. Subtract line 21 from line 20	2,916,392.	1,902,786.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date			
	MATTHEW ZIMMERMANN, CHIEF OPERATING OFFICER	11/15/2017			
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	Firm's name ▶				
	Firm's address ▶				
		Phone no.			

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

632001 11-11-16

LHA For Paperwork Reduction Act Notice, see the separate instructions.

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SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒ **X**

- 1 Briefly describe the organization's mission:  
**RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM NATURAL**
- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No  
 If "Yes," describe these new services on Schedule O.
- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No  
 If "Yes," describe these changes on Schedule O.
- 4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.
- 4a (Code: ) (Expenses \$ **4,493,475.** Including grants of \$ **2,943,167.**) (Revenue \$ )  
**REGIONAL PROGRAMS:**
- INDIA: RRI'S COUNTRY STRATEGY FOCUSED SIGNIFICANTLY ON SCALING UP IMPLEMENTATION OF INDIA'S FOREST RIGHTS ACT (FRA) AND GENERATING AND RELEASING STRATEGIC EVIDENCE BASED ANALYSES. COMMUNITY RIGHTS OVER 100,000 HECTARES WERE RECOGNIZED IN 2016, INCLUDING OVER 500 COMMUNITIES. RRI WAS SUCCESSFUL IN FACILITATING THE CREATION OF A NEW DATABASE TRACKING LAND CONFLICTS, AN ANALYSIS OF LAND CONFLICTS, A STUDY ON STALLED INVESTMENT PROJECTS, AND RESEARCH AND REPORTS ON THE PERFORMANCE AND POTENTIAL OF THE FRA ON THE OCCASION OF THE LAW'S TENTH ANNIVERSARY IN DECEMBER 2016. FRA IMPLEMENTATIONS EFFORTS ON THE GROUND WERE SCALED UP TO NEW DISTRICTS IN THE STATE OF ODISHA AND FIVE**
- 4b (Code: ) (Expenses \$ **3,427,715.** Including grants of \$ **1,263,920.**) (Revenue \$ )  
**ILFTF**
- SIX PILOT PROJECTS SUCCESSFULLY IMPLEMENTED, SECURING RIGHTS FOR OVER 300,000 HECTARES OF FOREST, APPLYING REFLECTION AND LEARNING FOR ADAPTIVE MANAGEMENT OF PROJECTS; AND TESTED AND ADJUSTED TF THEORY OF CHANGE AND SECOND STRATEGIC OBJECTIVE FOR TF TO BECOME A LEARNING PLATFORM.**
- TENURE FACILITY FUNDRAISING LED TO ESTABLISHMENT OF A JOINT DONOR GROUP AND MILESTONE PROCESS THAT HAS LED TO COMMITMENTS TO FUND AT LEAST \$10M PER YEAR (DOUBLE 2016 LEVEL). INTERIM BOARD TRANSITIONED INTO FULL BOARD, A PHASED APPROACH APPROVED, AND A TRANSITION PLAN IN PLACE FOR**
- 4c (Code: ) (Expenses \$ **1,830,278.** Including grants of \$ **315,779.**) (Revenue \$ )  
**STRATEGIC ANALYSIS AND GLOBAL ENGAGEMENT:**
- RRI RAISED INTERNATIONAL ATTENTION ON THE LIMITED EFFORTS OF FORESTED COUNTRIES AND CLIMATE INITIATIVES TO MAKE COMMUNITY-BASED CONTRIBUTIONS PART OF THE GLOBAL CLIMATE SOLUTION. STRATEGIC ANALYSES OF INTENDED NATIONALLY DETERMINED CONTRIBUTIONS FROM 188 COUNTRIES AND SUBMISSIONS TO THE WORLD BANK'S CARBON FUND FROM 13 KEY REDD+ COUNTRIES GAINED GLOBAL MEDIA ATTENTION AND WERE LEVERAGED IN NATIONAL (E.G., INDONESIA, DRC) AND INTERNATIONAL ARENAS (E.G., UNPFII, OSLO REDD EXCHANGE) TO URGE DEDICATED INVESTMENTS ON TENURE RIGHTS AND STRENGTHEN THE RELEVANT INSTITUTIONAL SAFEGUARDS OF KEY CLIMATE INITIATIVES. CONSOLIDATING CRUCIAL LINKS BETWEEN RIGHTS AND CLIMATE WAS A GROUNDBREAKING**
- 4d Other program services (Describe in Schedule O.)  
 (Expenses \$ **1,526,441.** Including grants of \$ **63,705.**) (Revenue \$ )
- 4e Total program service expenses **11,277,909.**

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**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	X	
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X

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**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	X	
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

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**Part V** Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	0	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	0	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
a	Did the sponsoring organization make any taxable distributions under section 4966?	9a	
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	
10	<b>Section 501(c)(7) organizations.</b> Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	<b>Section 501(c)(12) organizations.</b> Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

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**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI ☒**Section A. Governing Body and Management**

	1a	1b	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year	11			
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent		10		
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2	X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?			3	X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			4	X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?			5	X
<b>6</b> Did the organization have members or stockholders?			6	X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			7a	X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			7b	X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
<b>a</b> The governing body?			8a	X
<b>b</b> Each committee with authority to act on behalf of the governing body?			8b	X
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O			9	X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?		X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
<b>13</b> Did the organization have a written whistleblower policy?	X	
<b>14</b> Did the organization have a written document retention and destruction policy?	X	
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official	X	
<b>b</b> Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed **NONE**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☒ Own website ☐ Another's website ☐ Upon request ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, address, and telephone number of the person who possesses the organization's books and records: **THE ORGANIZATION - 202-470-3982**  
**2715 M STREET NW, WASHINGTON, DC 20007**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) JOHN HUDSON CHAIR	5.00	X		X				0.	0.	0.
(2) DON G ROBERTS TREASURER	5.00	X		X				0.	0.	0.
(3) JEAN ADEN SECRETARY	5.00	X		X				0.	0.	0.
(4) SAMUEL NGUIFFO DIRECTOR	1.00	X						0.	0.	0.
(5) UJJWAL PRADHAN DIRECTOR	1.00	X						0.	0.	0.
(6) VICTORIA TAULI-CORPUZ DIRECTOR	1.00	X						0.	0.	0.
(7) SASKIA OZINGA DIRECTOR	1.00	X						0.	0.	0.
(8) ARUN AGRAWAL DIRECTOR	1.00	X						0.	0.	0.
(9) NIGISTY GHEZAE DIRECTOR	1.00	X						0.	0.	0.
(10) ANTOINETTE ROYO CHAIR	5.00	X		X				0.	0.	0.
(11) MICHAEL JENKINS DIRECTOR	1.00	X						0.	0.	0.
(12) THOMAS A WHITE PRESIDENT	40.00			X				186,748.	0.	45,474.
(13) MATTHEW ZIMMERMANN CHIEF OPERATING OFFICER	40.00			X				146,057.	0.	36,626.
(14) JAMES-CHRISTOPHER MILLER DIRECTOR OF FINANCE & ADMI	40.00					X		126,354.	0.	17,248.
(15) JANIS ALCORN SENIOR DIRECTOR OF PROGRAM	40.00					X		153,719.	0.	24,960.
(16) MARIA OLAVARRIA DIRECTOR, COALITION COMMUN	40.00					X		131,846.	0.	9,229.
(17) CAROLE CARLSON SENIOR MANAGER OF ACCOUNTING	40.00					X		115,012.	0.	39,654.

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) RODNEY SCHMIDT DIRECTOR	40.00					X		133,770.	0.	22,019.
<b>1b Sub-total</b>								993,506.	0.	195,210.
<b>c Total from continuation sheets to Part VII, Section A</b>								0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>								993,506.	0.	195,210.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **7**

- 3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4	X	
5		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
THE MUNDEN PROJECT, COMMUNICATIONS HOUSE/26 YORK STREET, LONDON, UNITED	CONSULTANCY ON LAND GOVERNANCE	617,055.
RAINBOW ENVIRONMENT CONSULT SARL PO BOX 20137, YAOUNDE, CAMEROON	CONSULTANCY ON LAND AND TENURE RIGHTS	375,000.
AVRIM LAZAR 38A RALPH STREET, OTTAWA, ONTARIO, CANADA	CONSULTANCY ON INTERLAKEN GROUP	150,280.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **3**



**Part VIII** Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e	9,976,973.			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	2,200,000.			
	g Noncash contributions included in lines 1a-1f: \$					
	<b>h Total. Add lines 1a-1f</b>		12,176,973.			
<b>Program Service Revenue</b>	<b>Business Code</b>					
	2 a					
	b					
	c					
	d					
	e					
	f All other program service revenue	900099				
<b>g Total. Add lines 2a-2f</b>						
<b>Other Revenue</b>	3 Investment income (including dividends, interest, and other similar amounts)		1,437.			1,437.
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties					
	6 a Gross rents	(i) Real (ii) Personal				
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b Less: cost or other basis and sales expenses		10,474.			
	c Gain or (loss)		-10,474.			
	d Net gain or (loss)		-10,474.	-10,474.		
	8 a Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	a				
	b Less: direct expenses	b				
	c Net income or (loss) from fundraising events					
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory					
<b>Miscellaneous Revenue</b>		<b>Business Code</b>				
11 a OTHER REVENUE	900099	2,268.			2,268.	
b						
c						
d All other revenue						
<b>e Total. Add lines 11a-11d</b>		2,268.				
<b>12 Total revenue. See instructions.</b>		12,170,204.	-10,474.	0.	3,705.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	96,497.	96,497.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	4,490,074.	4,490,074.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	332,805.	236,422.	60,739.	35,644.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	3,607,176.	2,571,757.	1,028,890.	6,529.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes				
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch. O.)	2,336,730.	2,092,654.	244,076.	
12 Advertising and promotion	244,632.	49,472.	195,156.	4.
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	368,576.	317,923.	49,421.	1,232.
17 Travel	604,640.	577,773.	26,867.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	333,989.	328,275.	5,714.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	91,419.	78,855.	12,258.	306.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PUBLICATIONS AND OTHER	395,452.	395,376.	76.	
b MISCELLANEOUS	172,805.	42,831.	129,974.	
c LOSS ON FOREIGN EXCHANGE	109,015.		109,015.	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	13,183,810.	11,277,909.	1,862,186.	43,715.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	4,482,480.	1	
	<b>2</b> Savings and temporary cash investments .....		2	985,811.
	<b>3</b> Pledges and grants receivable, net .....	1,050,000.	3	1,000,000.
	<b>4</b> Accounts receivable, net .....	1,038,106.	4	1,679,942.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see Instr). Complete Part II of Sch L .....		6	
	<b>7</b> Notes and loans receivable, net .....		7	
	<b>8</b> Inventories for sale or use .....		8	
	<b>9</b> Prepaid expenses and deferred charges .....	83,853.	9	95,334.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	10a 705,265.		
	<b>b</b> Less: accumulated depreciation .....	10b 169,386.	10c	535,879.
	<b>11</b> Investments - publicly traded securities .....		11	
	<b>12</b> Investments - other securities. See Part IV, line 11 .....		12	
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		13	
	<b>14</b> Intangible assets .....		14	
	<b>15</b> Other assets. See Part IV, line 11 .....	728,329.	15	477,162.
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	7,478,984.	16	4,774,128.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	1,107,633.	17	1,228,897.
	<b>18</b> Grants payable .....	1,394,892.	18	5,000.
	<b>19</b> Deferred revenue .....	2,055,173.	19	1,163,391.
	<b>20</b> Tax-exempt bond liabilities .....		20	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		23	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		24	94,583.
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	4,894.	25	379,471.
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 .....	4,562,592.	26	2,871,342.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets .....	2,617,788.	27	1,622,979.
	<b>28</b> Temporarily restricted net assets .....	298,604.	28	279,807.
	<b>29</b> Permanently restricted net assets .....		29	
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds .....		30	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		32	
	<b>33</b> <b>Total net assets or fund balances</b> .....	2,916,392.	33	1,902,786.
<b>34</b> <b>Total liabilities and net assets/fund balances</b> .....	7,478,984.	34	4,774,128.	

Form 990 (2016)

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	12,170,204.
2	Total expenses (must equal Part IX, column (A), line 25)	2	13,183,810.
3	Revenue less expenses. Subtract line 2 from line 1	3	-1,013,606.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	2,916,392.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,902,786.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2016)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Name of the organization

**RIGHTS AND RESOURCES INSTITUTE, INC.**

Employer identification number

**20-3690821**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations \_\_\_\_\_
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	8,687,678.	10,554,212.	11,070,560.	16,500,618.	12,176,973.	58,990,041.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	8,687,678.	10,554,212.	11,070,560.	16,500,618.	12,176,973.	58,990,041.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						58,990,041.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	8,687,678.	10,554,212.	11,070,560.	16,500,618.	12,176,973.	58,990,041.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	3,602.	5,158.	6,847.	3,633.	1,437.	20,677.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	7,827.	9,345.	4,576.	9,962.	2,268.	33,978.
11 Total support. Add lines 7 through 10						59,044,696.
12 Gross receipts from related activities, etc. (see instructions)					12	

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	99.91	%
15 Public support percentage from 2015 Schedule A, Part II, line 14	15	99.90	%
16a 33 1/3% support test - 2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			<input checked="" type="checkbox"/>
b 33 1/3% support test - 2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions			<input type="checkbox"/>

Schedule A (Form 990 or 990-EZ) 2016

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support.</b> (Subtract line 7c from line 6.) .....						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) .....						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from 2015 Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

**b 33 1/3% support tests - 2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

**Part IV** Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
<b>b</b> <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c</b> <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
<b>b</b> Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		



**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b> A family member of a person described in (a) above?		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

**Section E. Type III Functionally Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.			
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.			
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).			
<b>2</b> Activities Test. Answer (a) and (b) below.			
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.			
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.			
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.			

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

Schedule A (Form 990 or 990-EZ) 2016

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations *(continued)*

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 <b>Total annual distributions.</b> Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required- explain in Part VI). See instructions			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013			
d From 2014			
e From 2015			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions			
7 <b>Excess distributions carryover to 2017.</b> Add lines 3j and 4c			
8 Breakdown of line 7:			
a			
b Excess from 2013			
c Excess from 2014			
d Excess from 2015			
e Excess from 2016			

Schedule A (Form 990 or 990-EZ) 2016

**Part VI**

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

Supplemental information area with horizontal lines for text entry.

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)( 3 ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ .....

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

RIGHTS AND RESOURCES INSTITUTE, INC.

20-3690821

**Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ACACIA PARTNERS LP 9 WEST 57TH STREET 50TH FLOOR NEW YORK, NY 10019	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	FORD FOUNDATION 320 EAST 43RD STREET NEW YORK, NY 11001	\$ 1,200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	DEPARTMENT FOR INTERNATIONAL DEVELOPMENT 1 PALACE STREET LONDON, UNITED KINGDOM	\$ 3,302,048.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	MINISTRY FOR FOREIGN AFFAIRS OF FINLAND FINLAND X/KANAVAKATU HELSINKI, FINLAND	\$ 1,128,999.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	NORWEGIAN AGENCY FOR DEVELOPMENT CORP RUSELOKKVEIEN 26 2,096.105 OSLO, NORWAY	\$ 514,803.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	SWEDISH INTERNATIONAL DEVELOPMENT COOPERATION AGENCY VALHALLAVAGAN 199 305,982 STOCKHOLM, SWEDEN	\$ 5,031,123.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

20-3690821

## Part II

[illegible]

Name of organization

Employer identification number

RIGHTS AND RESOURCES INSTITUTE, INC.

20-3690821

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee



**SCHEDULE D**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes" on Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**Open to Public  
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).  
☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of a historically important land area  
☐ Protection of natural habitat ☐ Preservation of a certified historic structure  
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 .....

(ii) Assets included in Form 990, Part X .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 .....

b Assets included in Form 990, Part X .....

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Schedule D (Form 990) 2016

632051 08-29-16

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition  
 b ☐ Scholarly research  
 c ☐ Preservation for future generations  
 d ☐ Loan or exchange programs  
 e ☐ Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☐ %  
 b Permanent endowment ☐ %  
 c Temporarily restricted endowment ☐ %  
 The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations  
 (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		331,592.	16,387.	315,205.
d Equipment		202,739.	104,870.	97,869.
e Other		170,934.	48,129.	122,805.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				535,879.

Schedule D (Form 990) 2016

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) ADVANCES TO LOCAL PARTNERS	418,252.
(2) DEPOSITS	58,910.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	477,162.

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability		(b) Book value
(1)	Federal income taxes	
(2)	DEFERRED RENT	379,471.
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)		379,471.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2016

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	12,061,189.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	-109,015.
e	Add lines 2a through 2d	2e	-109,015.
3	Subtract line 2e from line 1	3	12,170,204.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	12,170,204.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	13,074,795.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	-109,015.
e	Add lines 2a through 2d	2e	-109,015.
3	Subtract line 2e from line 1	3	13,183,810.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	13,183,810.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X, LINE 2:**

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2016 THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2013 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES.

**PART XI, LINE 2D - OTHER ADJUSTMENTS:**

**Part XIII** Supplemental Information (continued)

LOSS ON FOREIGN EXCHANGE

PART XII, LINE 2D -- OTHER ADJUSTMENTS:

LOSS ON FOREIGN EXCHANGE

PART X, LINE 2

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2015, THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2012 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES.

**SCHEDULE F**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**Open to Public  
Inspection

Name of the organization

Employer identification number

**RIGHTS AND RESOURCES INSTITUTE, INC.****20-3690821****Part I** General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ..... ☐ Yes ☒ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 **Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
CENTRAL AMERICA AND CARIBBEAN	0	5	GRANTS TO RECIPIENTS		289,022.
SOUTH AMERICA	0	14	GRANTS TO RECIPIENTS		697,724.
EAST ASIA AND THE PACIFIC	0	12	GRANTS TO RECIPIENTS		723,615.
EUROPE	0	1	GRANTS TO RECIPIENTS		27,430.
SOUTH ASIA	0	18	GRANTS TO RECIPIENTS		630,804.
SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,		25	GRANTS TO RECIPIENTS		2,121,478.
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES		1	GRANTS TO RECIPIENTS		0.
3 a Sub-total .....	0	76			4,490,073.
b Total from continuation sheets to Part I .....	0	0			0.
c Totals (add lines 3a and 3b) .....	0	76			4,490,073.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			CENTRAL AMERICA AND THE CARIBBEAN	ADVOCACY STRATEGY TO PLACE COMMUNAL LANDS OF INDIGENOUS PEOPLES AND COMMUNITY FOREST	4,000	WIRE TRANSFER	0.		
			CENTRAL AMERICA AND THE CARIBBEAN	STRENGTHENING THE PARTICIPATION AND ADVOCACY CAPACITY OF	7,000	WIRE TRANSFER	0.		
			CENTRAL AMERICA AND THE CARIBBEAN	CONSOLIDATE AND PROTECT THE COLLECTIVE TERRITORIAL RIGHTS	250,000	WIRE TRANSFER	0.		
			CENTRAL AMERICA AND THE CARIBBEAN	ENSURING THE CONTINUATION OF COMMUNITY FORESTRY	20,800	WIRE TRANSFER	0.		
			EAST ASIA AND THE PACIFIC	SUPPORT OPERATIONALIZATION OF REGULATION OF 4 MINISTRIES ON	5,000	WIRE TRANSFER	0.		
			EAST ASIA AND THE PACIFIC	ADVANCING LAND TENURE REFORM IN INDONESIAN LAND BILL	3,597	WIRE TRANSFER	0.		
			EAST ASIA AND THE PACIFIC	EXPANDING RECOGNITION OF CUSTOMARY FOREST	200,000	WIRE TRANSFER	0.		
			EAST ASIA AND THE PACIFIC	SETTING UP SOVEREIGN COMMUNITY LAND RIGHTS IN FOREST AREAS AT DISTRICT LEVELS	7,362	WIRE TRANSFER	0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

SEE PART V FOR COLUMN (D) DESCRIPTIONS

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**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	URGENT ACTION FOR EFFECTIVE RECOGNITION OF ADAT FOREST	44,621.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	ADVOCACY ON AGRARIAN REFORM IMPLEMENTATION THROUGH THE NATIONAL COMMITTEE FOR	13,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	RESEARCH IN SICHUAN PROVINCE ON COLLECTIVE FOREST TENURE REFORM	10,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	"WORKSHOP AND DISSEMINATION OF 2ND ROUND RESEARCH FINDINGS ON	10,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	PRE-WORLD FORESTRY CONGRESS REGIONAL MEETING	4,884.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	KENYA JUNE NATIONAL FORUM	47,182.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	SUPPORT DEVELOPMENT OF CBFM POLICY	3,378.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	PROMOTING COMMUNITY SOCIAL FORESTRY THROUGH ACCESS	48,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	ARCHIVING AND DISSEMINATING THE RESULTS OF KOMNAS HAME NATIONAL	15,287.	WIRE TRANSFER	0.		



**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	WORKSHOP ON MANAGEMENT STRATEGY FOR RESOLUTION	13,500.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	INDIGENOUS KNOWLEDGE BASED SUSTAINABLE PLANNING	36,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	PROTECTING LOCAL COMMUNITIES TENURE RIGHTS IN INDONESIA	44,988.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	RRI FACILITATION IN SOUTHEAST ASIA	13,340.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	"DOUBLING UP FOR RIGHTFUL CONSERVATION - PROTECTED AREAS AND CUSTOMARY	1,621.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	RRI FACILITATION IN SE ASIA 2016	64,500.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	REALIZING INDIGENOUS PEOPLES RIGHTS IN CONSERVATION	32,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	PARTICIPATION IN 2016 ASIA PACIFIC FORESTRY WEEK	6,199.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	INDONESIA COUNTRY PLANNING MEETING FOR 2017	9,429.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	IMPACTS OF LARGE SCALE LAND ACQUISITIONS ON LOCAL FOREST	15,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	SEIZING POLITICAL OPPORTUNITIES FOR ADVANCING TENURE RIGHTS	49,600.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	REALIZING RIGHTS THROUGH AGRARIAN REFORM AND LAND	40,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	ANTI CRIMINALIZATION CAMPAIGN AND SUPPORT FUND	48,000.	WIRE TRANSFER	0.		
		EAST ASIA AND THE PACIFIC	SUPPORT TO JVAM TO ENHANCE ENTITLEMENTS OF FOREST	19,800.	WIRE TRANSFER	0.		
		EUROPE	"BERN CONFERENCE FROM RHETORIC TO ACTION: SCALING UP	27,430.	WIRE TRANSFER	0.		
		SOUTH AMERICA	"COMMUNICATIONS STRATEGY TO DISSEMINATE KEY	998.	WIRE TRANSFER	0.		
		SOUTH AMERICA	"GUIDELINES PROPOSAL FOR THE LAND RECOGNITION	1,250.	WIRE TRANSFER	0.		
		SOUTH AMERICA	"ADVOCACY STRATEGY TO SUPPORT LAND RECOGNITION	9,890.	WIRE TRANSFER	0.		

## Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	PARTICIPATION OF AIDSEP IN THE PARIS COP21	105.	WIRE TRANSFER	0.		
		SOUTH AMERICA	EXPANSION & SAFEGUARDS FOR INDIGENOUS TERRITORIES	7,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	"MEETING TO SHARE EXPERIENCES ON TERRITORIAL MONITORING AND	1,521.	WIRE TRANSFER	0.		
		SOUTH AMERICA	SUPPORT TO RRI REGIONAL FACILITATOR FOR SOUTH AMERICA	9,502.	WIRE TRANSFER	0.		
		SOUTH AMERICA	"CREATION OF A ROUNDTABLE TO DRAFT RECOMMENDATIONS ON THE ROLE OF THE	1,250.	WIRE TRANSFER	0.		
		SOUTH AMERICA	"ADVOCACY STRATEGY TO AMEND OR REPEAL THE IMPLEMENTATION OF LAW 30230 AND OTHER	1,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	ADVOCACY STRATEGY AND COMMUNICATIONS CAMPAIGN TO PROTECT FOREST	9,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	ADVOCACY STRATEGY FOR THE EFFECTIVE COORDINATION	32,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA		3,999.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

<b>1</b> (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	ANALYSIS OF OPTIONS AND OBSTACLES REGARDING THE COLLECTIVE LAND	1,471.	WIRE TRANSFER	0.		
		SOUTH AMERICA	ANALYSIS AND ADVOCACY STRATEGY FOR RECOGNITION	18,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	TERRITORIAL RIGHTS AND COLLECTIVE LAND TITLING OPP	18,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	PROPOSALS TO IMPROVE THE PROCEDURES MANUAL TO TITLING	21,600.	WIRE TRANSFER	0.		
		SOUTH AMERICA	PRIOR CONSULTATION OPPORTUNITIES AND PARTICIPATION	32,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	PRIOR CONSULTATION OPPORTUNITIES AND PARTICIPATION	44,800.	WIRE TRANSFER	0.		
		SOUTH AMERICA	ENVIRONMENTAL SAFEGUARD SYSTEM FOR ANCESTRAL TERRITORY	35,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	STRENGTHENING ARTICULATION OF INDIGENOUS	36,000.	WIRE TRANSFER	0.		
		SOUTH AMERICA	RECOMMENDATIONS FOR THE NATIONAL PUBLIC POLICY	24,000.	WIRE TRANSFER	0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)										Page
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)	
			SOUTH ASIA	CONDUCT OVERSIGHT OF GOVERNMENT BUREAUCRACY OPERATIONS AS RELATED	5,000.	WIRE TRANSFER	0.			
			SOUTH ASIA	MASS MOBILIZATION AND GRASSROOTS CAMPAIGN TO PROTECT CHURE RIGHTS AND	10,000.	WIRE TRANSFER	0.			
			SOUTH ASIA	" INSTITUTIONAL STRENGTHENING AND SUPPORT FOR ONGOING	1,000.	WIRE TRANSFER	0.			
			SOUTH ASIA	ARRI NEPAL COUNTRY PLANNING MEETING FOR 2016 ACTIVITIES	312.	WIRE TRANSFER	0.			
			SOUTH ASIA	MEETINGS OF FOREST RIGHTS COORDINATION GROUP	8,500.	WIRE TRANSFER	0.			
			SOUTH ASIA	CONSULTATION ON FOREST RIGHTS WITH LOCAL COMMUNITIES	14,140.	WIRE TRANSFER	0.			
			SOUTH ASIA	STRATEGIC ANALYSIS + ADVOCACY FOR CONTINUED SUPPORT	53,280.	WIRE TRANSFER	0.			
			SOUTH ASIA	NEPAL PLANNING MEETING	3,368.	WIRE TRANSFER	0.			
			SOUTH ASIA	ESTABLISH 2-3 IMPLEMENTABLE PILOT PROJECTS FOR CFES	5,000.	WIRE TRANSFER	0.			

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			WIDER ALLIANCE BUILDING AND MOBILIZATION TO ENSURE COMMUNITY	5,000.	WIRE TRANSFER	0.		
		SOUTH ASIA	CONSULTATION WITH MADGESGU GROUPS AND DISTANT FOREST	7,500.	WIRE TRANSFER	0.		
		SOUTH ASIA	TECHNICAL DRAFTING OF NEW FOREST RIGHTS LAW	42,180.	WIRE TRANSFER	0.		
		SOUTH ASIA	EOY 2016 - ADVANCES JUSTMENTS - 16 GFN 03 SRM	44,955.	WIRE TRANSFER	0.		
		SOUTH ASIA	" STRENGTHENING ORGANIZATIONAL CAPACITY OF THE MEMBERS OF RRI	872.	WIRE TRANSFER	0.		
		SOUTH ASIA	ANALYSIS OF STALLED DEVELOPMENT PROJECTS IN INDIA	32,000.	WIRE TRANSFER	0.		
		SOUTH ASIA	DIALOGUE ON LAND, CONFLICT AND INVESTMENT RISKS IN INDIA	7,657.	WIRE TRANSFER	0.		
		SOUTH ASIA	SCALING UP PARTICIPATORY COMMUNITY MAPPING IN INDIA	13,289.	WIRE TRANSFER	0.		
		SOUTH ASIA	DEVELOPING STRATEGIC INTERVENTION FOR DEMOCRATIC CFR GOVERNANCE	6,096.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	SCALING UP PARTICIPATORY COMMUNITY MAPPING AND FRA	146,285.	WIRE TRANSFER	0.		
		SOUTH ASIA	PROMISE AND PERFORMANCE OF FOREST RIGHTS ACT	21,000.	WIRE TRANSFER	0.		
		SOUTH ASIA	SUPPORTING EVENT OF LAND CONFLICT IN INDIA	17,358.	WIRE TRANSFER	0.		
		SOUTH ASIA	STRENGTHENING INDIGENOUS PEOPLES MOVEMENTS	24,000.	WIRE TRANSFER	0.		
		SOUTH ASIA	HUMAN RIGHTS CORPORATE PRACTICE SECURING INVESTMENT	6,660.	WIRE TRANSFER	0.		
		SOUTH ASIA	SUPPORT EVENT ON DIALOGUE OF IPS AND LOCAL COMMUNITY	22,487.	WIRE TRANSFER	0.		
		SOUTH ASIA	ASSESSMENT OF STATUS OF IMPLEMENTATION OF FRA	13,500.	WIRE TRANSFER	0.		
		SOUTH ASIA	CONSULTATION WITH IPS TOWARDS NEW FOREST RIGHTS	15,984.	WIRE TRANSFER	0.		
		SOUTH ASIA	ACCESS TO NATURAL RESOURCES FOR FOREST DEPENDENT	64,000.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

<b>1</b> (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	SAHDEVAN-F - 16 SAHU 01 SRM-ENABLING THE PASTORAL COMMUNITIES OF BANNI TO SECURE	39,920.	WIRE TRANSFER	0.		
		SOUTH ASIA	TRAININGS FOR STUDENTS AND SCHOLARS ON CFR RIGHTS	6,062.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ORGANIZE A MULTI-STAKEHOLDER DIALOGUE ON THE INCLUSION OF THE	9,914.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	SUPPORT COMMUNITIES TO SECURE THEIR RIGHTS IN THE FOREST DOMAINS OF	3,056.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	COMMUNITIES[RIGHTS AND INVESTMENTS IN CAMEROON	4,526.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ENABLING STRONGER COMMUNITY LAND RIGHTS THROUGH AN AFRICA LAND RIGHTS INDEX	6,108.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	DEVELOP AN ADVOCACY STRATEGY ON LOCAL COMMUNITY FOREST CONCESSIONS WITH CACO	3,328.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ESTABLISH A PROCESS THROUGH MONITORING AND COMMUNICATION TO EVALUATE THE	1,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	CACO COORDINATION MEETINGS 2016	3,000.	WIRE TRANSFER	0.		



**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	MULTI-STAKEHOLDER CONSENSUS TO RELAUNCH THE LAND RIGHTS LOCAL WOMEN'S PLATFORM PARTICIPATION IN THE IMPLEMENTATION OF THE GENDER ANALYSIS OF LIBERIA'S NATIONAL REDD+ FRAMEWORKS AND STRATEGY	16,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"STRENGTHENING THE CAPACITY OF RURAL WOMEN ON THE DRAFT LAND	8,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ADVOCACY FOR THE PARTICIPATION OF WOMEN AND YOUTH	9,731.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	LIBERIA COALITION MEETING: APRIL 2016	5,640.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	LIBERIA COALITION COORDINATION MEETINGS: 2016	32,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"NGOYLAYMINTOM REDD+ PILOT PROJECT	2,130.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	6TH SOUTH EAST ASIAN REGIONAL CONFERENCE ON HUMAN	5,840.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA		1,500.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA		32,000.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	PHILIPPINES ACTION RESEARCH: MAKING LAND TENURE	20,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	LEGAL AND MAPPING SUPPORT FOR FDOS IN KENYA	49,978.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	FOREST PEOPLES PROGR-F - 16 FPP 03 SRM-ENHANCING AGRIBUSINESS	80,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	MONITOR THE INCLUSION OF THE RRI COALITION	9,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	RECOMMENDATIONS IN CRL PHASE VI: THE CRL IS TAKEN INTO ACCOUNT IN THE DRAFTING PROCESS OF THE	20,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"CUSTOMARY LAND AND PROPERTY RIGHTS" CONSTITUTIONAL	8,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	PROMOTE GENDER AND WOMEN'S TENURE RIGHTS	4,340.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	EXPOSURE VISIT OF NEPALI PARLIAMENT MEMBERS	24,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ALTERNATIVE MODELS OF CUSTOMARY COMMUNITY FORESTRY	32,000.	WIRE TRANSFER	0.		

Part II	Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									Page
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)	
			SUB-SAHARAN AFRICA	REPORT AND CASE STUDY ON THE IMPACTS OF LSLAS	16,000.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	IMPACT ASSESSMENT STUDY ON GGW SUB-REGIONAL DIALOGUE	1,385.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	ADVOCACY OF COMMUNITY LAND RIGHTS	2,005.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	ADVOCACY OF COMMUNITY LAND RIGHTS	974.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	"INCLUDE LOCAL TERRITORIAL COLLECTIVITIES AND COMMUNITIES AFFECTED	2,247,274.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	IMPLEMENTATION OF INNOVATIVE MEASURES CONTAINED IN THE NEW LAND POLICY FOR	328,700.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	SUPPORT RRI REGIONAL FACILIATOR FOR PERU AND REGION	48,786.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	GENERATION OF KEY INFORMATION ON LAND TITLING	12,000.	WIRE TRANSFER	0.			
			SUB-SAHARAN AFRICA	"CAPITALIZE ON BEST PRACTICES OF LOCAL OPTIONS FOR SECURING COMMUNITY	6,361.	WIRE TRANSFER	0.			

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUPPORT LAUNCH OF THE RRI 2016	18,320.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ASSESS THE LAND TENURE RIGHTS/ DEVELOP CRITERIA	23,540.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ASSESS THE LAND TENURE RIGHTS/ DEVELOP CRITERIA	23,540.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	NATIONAL ADVOCACY FOR THE REPRESENTATION AND PARTIES	47,080.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	ENHANCING THE PASSAGE OF THE LAND RIGHTS ACT INTO LAW	4,700.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	MULTISTAKEHOLDER DIALOGUE ON "BUILDING PARTNERSHIPS"	40,487.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"ORGANIZE A NATIONAL WORKSHOP FOR CACO TO ASSESS ACTIVITIES FOR 2015 AND DEFINE NATIONAL VALIDATION WORKSHOP FOR THE RRI DRC TENURE BASELINE STUDY	12,677.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	DRC PLANNING MEETING 2016	1,615.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA		109.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SUB-SAHARAN AFRICA	"REINFORCING SOCIAL COHESION IN THE BAYERIA COMMUNITY THROUGH SOCIAL	1,205.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	LOCAL ENGAGEMENT ON REDD, FIP, AND TENURE SECURITY	25,000.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	MAPPING THE LAND RIGHTS OF LOCAL COMMUNITIES	24,000.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	NATIONAL WORKSHOP ON GENDER: REDD+, COMMUNITY FOREST	30,847.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	SUPPORT THE FORMULATION OF A NATIONAL STRATEGY FOR THE PROMOTION OF	2,000.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	COLLECTIVE COMMUNITY LAND RIGHTS	749,600.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	PARTICIPATION OF COMMUNITY FOREST MANAGEMENT BODIE	23,500.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	CAPACITY DEVELOPMENT WITHIN TARGET COMMUNITIES	23,950.	WIRE TRANSFER	0.		
			SUB-SAHARAN AFRICA	STRENGTHENING CUSTOMARY TENURE RIGHTS: EMPOWERING	32,000.	WIRE TRANSFER	0.		

## Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	EXPANDING THE FORUM: A CIVIL SOCIETY-LED ADVOCACY	37,270.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	SECURING THE RIGHTS OF LUMAD IN PHILIPPINES	18,940.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	LOCAL LAND DEVELOPMENT PLAN	2,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	LOCAL LAND DEVELOPMENT PLAN	2,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"PROMOTION OF SMALL PRODUCERS/FARMERS AND WOMEN'S LAND RIGHTS IN PERI-URBAN	2,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"SECURE WOMEN'S LAND RIGHTS IN RURAL AREAS THROUGH THE ACQUISITION OF LOCAL	3,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	"PROMOTE WOMEN AND LOCAL COMMUNITIES' RIGHTS IN BURKINA FASO'S GROWTH POLES	2,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	SECURE LOCAL COMMUNITIES' TENURE RIGHTS IN BURKINA FASO'S GROWTH POLES	45,435.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	CENTRE D'APPUI A LA -F - 16 CAGD 01 SRM-SECURING CUSTOMARY FOREST	50,000.	WIRE TRANSFER	0.		

**Part II** Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	ADVOCACY FOR THE RECOGNITION OF WOMEN'S TENURE RIGHTS	38,500.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	DRC PLANNING MEETING 2017	5,100.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	CIVIL SOCIETY FORUM ON SENEGAL'S LAND POLICY	12,610.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	MULTI STAKEHOLDER DIALOGUE ON BUKANGALONZO PARK	35,000.	WIRE TRANSFER	0.		
		SUB-SAHARAN AFRICA	SECURING LAND RIGHTS FOR THE MAJI MOTO PASTORALIST	21,550.	WIRE TRANSFER	0.		





- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990) ☐ Yes ☒ No

Schedule F (Form 990) 2016

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**PART II, COLUMN (D):****REGION: CENTRAL AMERICA AND THE CARIBBEAN**

**(D) PURPOSE OF GRANT: ADVOCACY STRATEGY TO PLACE COMMUNAL LANDS OF  
INDIGENOUS PEOPLES AND COMMUNITY FOREST CONCESSIONS IN THE AGENDAS OF  
MUNICIPAL AND PRESIDENTIAL CANDIDATES**

**REGION: CENTRAL AMERICA AND THE CARIBBEAN**

**(D) PURPOSE OF GRANT:  
STRENGTHENING THE PARTICIPATION AND ADVOCACY CAPACITY OF INDIGENOUS  
PEOPLE**

**REGION: CENTRAL AMERICA AND THE CARIBBEAN**

**(D) PURPOSE OF GRANT: CONSOLIDATE AND PROTECT THE COLLECTIVE TERRITORIAL  
RIGHTS (LAND, FOREST, AND WATER) OF PANAMA'S INDIGENOUS PEOPLES**

**REGION: EAST ASIA AND THE PACIFIC**

**(D) PURPOSE OF GRANT: SUPPORT OPERATIONALIZATION OF REGULATION OF 4  
MINISTRIES ON CONFLICT RESOLUTION**

**REGION: EAST ASIA AND THE PACIFIC**

**(D) PURPOSE OF GRANT: ADVOCACY ON AGRARIAN REFORM IMPLEMENTATION THROUGH  
THE NATIONAL COMMITTEE FOR AGRARIAN REFORM (KNPA)**

**REGION: EAST ASIA AND THE PACIFIC**

**(D) PURPOSE OF GRANT: "WORKSHOP AND DISSEMINATION OF 2ND ROUND RESEARCH  
FINDINGS ON COLLECTIVE FOREST TENURE REFORM**

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: ARCHIVING AND DISSEMINATING THE RESULTS OF KOMNAS  
HAMO NATIONAL INQUIRY

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: "CREATION OF A ROUNDTABLE TO DRAFT RECOMMENDATIONS  
ON THE ROLE OF THE MINISTRY OF

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ANALYSIS OF OPTIONS AND OBSTACLES REGARDING THE  
COLLECTIVE LAND TITLING OF AFRODESCENDANT COMMUNITY COUNCILS IN THE  
COLOMBIAN CARIBBEAN

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: CONDUCT OVERSIGHT OF GOVERNMENT BUREAUCRACY  
OPERATIONS AS RELATED TO FORESTS AND ENVIRONMENT

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: MASS MOBILIZATION AND GRASSROOTS CAMPAIGN TO  
PROTECT CHURE RIGHTS AND LIVELIHOODS

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: WIDER ALLIANCE BUILDING AND MOBILIZATION TO ENSURE  
COMMUNITY PROPERTY RIGHTS (CPR) IN THE NEW CONSTITUTION

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: "STRENGTHENING ORGANIZATIONAL CAPACITY OF THE

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**MEMBERS OF RRI COALITION****REGION: SUB-SAHARAN AFRICA**

(D) PURPOSE OF GRANT: ORGANIZE A MULTI-STAKEHOLDER DIALOGUE ON THE INCLUSION OF THE CAMEROON COALITION'S RECOMMENDATIONS ON THE INSTITUTIONALIZATION OF PARTICIPATORY MAPPING AS WELL AS THE DELIMITATION OF COMMUNITIES' DOMAINS IN THE LAND AND FOREST LAWS

**REGION: SUB-SAHARAN AFRICA**

(D) PURPOSE OF GRANT: SUPPORT COMMUNITIES TO SECURE THEIR RIGHTS IN THE FOREST DOMAINS OF DECLASSIFIED RETROCEDED UFAS IN THE SOUTH-NKIENKOU REGION OF CAMEROON

**REGION: SUB-SAHARAN AFRICA**

(D) PURPOSE OF GRANT: DEVELOP AN ADVOCACY STRATEGY ON LOCAL COMMUNITY FOREST CONCESSIONS WITH CACO MEMBERS

**REGION: SUB-SAHARAN AFRICA**

(D) PURPOSE OF GRANT: ESTABLISH A PROCESS THROUGH MONITORING AND COMMUNICATION TO EVALUATE THE IMPLEMENTATION OF LAND USE PLANNING AND TENURE REFORM

**REGION: SUB-SAHARAN AFRICA**

(D) PURPOSE OF GRANT: LOCAL WOMEN'S PLATFORM PARTICIPATION IN THE IMPLEMENTATION OF THE NEW LAND LAW (NLL)

**REGION: SUB-SAHARAN AFRICA**

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

(D) PURPOSE OF GRANT: FOREST PEOPLES PROGR-F - 16 FPP 03 SRM-ENHANCING  
AGRIBUSINESS EXPANSION IN INDIGENOUR TER-

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: MONITOR THE INCLUSION OF THE RRI COALITION®  
RECOMMENDATIONS IN THE NATIONAL PEACE AND RECONCILIATION IN MALI

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CRL PHASE VI: THE CRL IS TAKEN INTO ACCOUNT IN THE  
DRAFTING PROCESS OF THE REGULATIONS

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: "INCLUDE LOCAL TERRITORIAL COLLECTIVITIES AND  
COMMUNITIES AFFECTED BY

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: IMPLEMENTATION OF INNOVATIVE MEASURES CONTAINED IN  
THE NEW LAND POLICY FOR DECENTRALIZED AND PEACEFUL MANAGEMENT OF NATURAL  
RESOURCES

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SUPPORT THE FORMULATION OF A NATIONAL STRATEGY FOR  
THE PROMOTION OF NTFPS IN MALI

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: "SECURE WOMEN® LAND RIGHTS IN RURAL AREAS THROUGH  
THE ACQUISITION OF LOCAL LAND

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CENTRE D'APPUI A LA -F - 16 CAGD 01 SRM-SECURING  
CUSTOMARY FOREST RIGHTS

**SCHEDULE I**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Name of the organization

**RIGHTS AND RESOURCES INSTITUTE, INC.**

Employer identification number  
**20-3690821**

**Part I General Information on Grants and Assistance**

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LANDESA 1424 FOURTH AVE. SUITE 300 SEATTLE, WA 98101	91-1158970	501 ( C ) ( 3 )	22,000.	0.			CONTINUED INFORMAL FORUM FOR PRACTICAL GUIDELINES ON FORESTLAND ACQUISITIONS AND BUSINESS
WORLD RESOURCES INSTITUTE 10 G STREET NE WASHINGTON, DC 20002	52-1257057	501 ( C ) ( 3 )	40,000.	0.			GLOBAL MAPPING GROUP STEERING COMMITTEE MEETING
CLARK UNIVERSITY 950 MAIN STREET WORCESTER, MA 01610	04-2111203	501 ( C ) ( 3 )	19,497.	0.			DOCUMENTING WOMEN'S LEADERSHIP, AGENCY AND VOICE
UNIVERSITY OF MICHIGAN BOX 223131 PITTSBURGH, PA 15251-2131	38-6006309	501 ( C ) ( 3 )	15,000.	0.			DOCUMENTING IMPACTS OF LARGE-SCALE LAND ACQUISITIONS ON LOCAL FOREST.

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

SEE PART IV FOR COLUMN (H) DESCRIPTIONS

Schedule I (Form 990) (2016)





**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

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**2016**

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**Part I Questions Regarding Compensation**

	Yes	No								
<p><b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</p> <table border="0"> <tr> <td><input type="checkbox"/> First-class or charter travel</td> <td><input type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax indemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)</td> </tr> </table>	<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use	<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)		
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use									
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence									
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees									
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)									
<p><b>b</b> If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</p>	<b>1b</b>									
<p><b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?</p>	<b>2</b>									
<p><b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</p> <table border="0"> <tr> <td><input type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input type="checkbox"/> Independent compensation consultant</td> <td><input type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input checked="" type="checkbox"/> Form 990 of other organizations</td> <td><input checked="" type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>	<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract	<input type="checkbox"/> Independent compensation consultant	<input type="checkbox"/> Compensation survey or study	<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee				
<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract									
<input type="checkbox"/> Independent compensation consultant	<input type="checkbox"/> Compensation survey or study									
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee									
<p><b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:</p>										
<p><b>a</b> Receive a severance payment or change-of-control payment?</p>	<b>4a</b>	X								
<p><b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?</p>	<b>4b</b>	X								
<p><b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?</p> <p>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.</p>	<b>4c</b>	X								
<p><b>Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b></p>										
<p><b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</p>										
<p><b>a</b> The organization?</p>	<b>5a</b>	X								
<p><b>b</b> Any related organization?</p> <p>If "Yes" on line 5a or 5b, describe in Part III.</p>	<b>5b</b>	X								
<p><b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</p>										
<p><b>a</b> The organization?</p>	<b>6a</b>	X								
<p><b>b</b> Any related organization?</p> <p>If "Yes" on line 6a or 6b, describe in Part III.</p>	<b>6b</b>	X								
<p><b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III</p>	<b>7</b>	X								
<p><b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III</p>	<b>8</b>	X								
<p><b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</p>	<b>9</b>									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2016



Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Supplemental information area with multiple horizontal lines for text entry.

**SCHEDULE L**

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Transactions With Interested Persons**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

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**Part I Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1 (a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
			Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 ..... ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ..... ▶ \$

**Part II Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total ..... ▶ \$

**Part III Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2016

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
ANTOINETTE ROYO	SPOUSE	0.	CONSULTANT		X
ARVIND KHARE	RRG EXECUTIVE DIREC	0.	CONSULTANT		X
ANTOINETTE ROYO	ANTOINETTE ROYO SAM	0.	COLLABORATI		X
SAMUEL NGUIFFO	SAMUEL NGUIFFO CED	0.	COLLABORATI		X
VICKY TAULI-CORPUZ	VICKY TAULI-CORPUZ	0.	COLLABORATI		X

**Part V** Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: ANTOINETTE ROYO

(D) DESCRIPTION OF TRANSACTION: CONSULTANT FEES

(A) NAME OF PERSON: ARVIND KHARE

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

RRG EXECUTIVE DIRECTOR, RETIRED OCT 4, 2015

(D) DESCRIPTION OF TRANSACTION: CONSULTANT FEES

(A) NAME OF PERSON: ANTOINETTE ROYO

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

ANTOINETTE ROYO SAMDHANA EXECUTIVE DIRECTOR AND RRG BOARD MEMBER

(D) DESCRIPTION OF TRANSACTION: COLLABORATIVE AGREEMENT TO RRI PARTNER ORGANIZATION

(A) NAME OF PERSON: SAMUEL NGUIFFO

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

SAMUEL NGUIFFO CED SECRETARY GENERAL AND RRG BOARD MEMBER

(D) DESCRIPTION OF TRANSACTION: COLLABORATIVE AGREEMENT TO RRI PARTNER ORGANIZATION

**Part V** Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions).

(A) NAME OF PERSON: VICKY TAULI-CORPUZ

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

VICKY TAULI-CORPUZ EXECUTIVE DIRECTOR, TEBTEBBA AND RRG BOARD MEMBER

(D) DESCRIPTION OF TRANSACTION: COLLABORATIVE AGREEMENT TO RRI PARTNER ORGANIZATION

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

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FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION  
TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO  
OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND  
FORESTS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESOURCES, ESPECIALLY LAND AND FORESTS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

ADDITIONAL STATES IN INDIA.

PERU: RRI PARTNERS AND COLLABORATORS ARE BETTER POSITIONED TO ENSURE  
THAT INDIGENOUS PEOPLES' LAND RIGHTS ARE RESPECTED IN THE  
IMPLEMENTATION OF REFORMS IN TWO KEY WAYS. RRI COLLABORATORS  
REPRESENTING INDIGENOUS PEOPLES AND CIVIL SOCIETY ORGANIZATIONS BECAME  
ACTIVE MEMBERS OF THE COMMITTEE OVERSEEING ALL STAGES OF PTRT3  
IMPLEMENTATION (NATIONAL ADVISORY COMMITTEE ON THE IMPLEMENTATION THE  
RURAL LAND CADASTRE, TITLING AND REGISTRATION PROJECT- THIRD STAGE).  
RRI PARTNERS AND COLLABORATORS ALSO BECAME PART OF THE  
INTER-INSTITUTIONAL COMMISSION THAT WILL ADVISE AND REVISE ALL LAWS  
RELATED TO LAND TENURE.

COLOMBIA: RRI'S COLLABORATORS IN COLOMBIA PRODUCED LEGAL ANALYSES THAT  
WILL HELP COMMUNITIES IN THE CARIBBEAN REGION SECURE THEIR PENDING LAND  
TITLE REQUESTS. THE RRI COALITION'S WORK PROPELLED THE NATIONAL LAND

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2016)

632211 08-25-16

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AGENCY TO ISSUE A LONG-PENDING COLLECTIVE LAND TITLE FOR CARIBBEAN AFRO-DESCENDANT COMMUNITIES, AND THEREBY OPENED A PATH FOR TITLING UP TO 2 MILLION HECTARES TO BE PARTIALLY FUNDED WITH NEW COMMITMENTS BY SPAIN S FOREIGN ASSISTANCE (AECD). RRI COLLABORATORS ALSO ACCEPTED AN INVITATION FROM THE COLOMBIAN AND NORWEGIAN GOVERNMENTS TO ADVISE THEM ON THE IMPLEMENTATION OF CLIMATE CHANGE INITIATIVES. A JOINT PROPOSAL FOR INCORPORATING WOMEN S ACCESS TO LAND IN A NEW PROPOSED RURAL WOMEN S POLICY, WAS PRESENTED TO THE NEW NATIONAL RURAL WOMEN S AGENCY AND THE PRESIDENT S ADVISOR ON WOMEN S ISSUES BY RRI COLLABORATORS BRIDGING AFRODESCENDENT, PEASANT AND INDIGENOUS WOMEN.

LIBERIA: AS A RESULT OF RRI ENGAGEMENT, THERE IS STRONGER COLLABORATION BETWEEN CSOS, AND BETWEEN THE GOVERNMENT AND CSOS, WHICH STRENGTHENS THE ODDS OF THE ACT PASSING.

INDONESIA: AGRARIAN REFORM HAS PROGRESSED IN CLOSE COLLABORATION WITH GOVERNMENT. PARTNERS AND COLLABORATORS ARE WELL POSITIONED AND CONTINUED TO ADVANCE "ADAT" RIGHTS. NEW REGULATIONS PASSED IN SIX ADDITIONAL DISTRICTS. A SERIES OF POLICE TRAININGS SPEARHEADED RRI'S ANTI-CRIMINALIZATION REFORM EFFORTS WITHIN INDONESIA. PUBLICATION OF NATIONAL INQUIRY ON INDIGENOUS RIGHTS OPENED NEW SPACES FOR PROGRESS.

NEPAL: IN 2016, RRI AND ITS PARTNERS AND COLLABORATORS FORMED THE FOREST RIGHTS COORDINATION GROUP - A DIVERSE ALLIANCE BRIDGING IPS, LOCAL COMMUNITIES, WOMEN AND DALITS - TO ESTABLISH A COMMON POSITION ON A NEW FOREST RIGHTS LAW AND BEGIN THE TECHNICAL PROCESS OF DRAFTING A NEW FOREST RIGHTS LAW. ADDITIONALLY, THE RRI COALITION HAS BEGUN AWARENESS AND ADVOCACY EFFORTS TARGETING PARLIAMENTARIANS THROUGH



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DIALOGUES AND MEETINGS UNDERSCORING THE IMPORTANCE OF A COMPREHENSIVE FOREST RIGHTS LAW.

DRC: IP GROUPS AND CSOS ARE REPRESENTED IN THE LAND COMMISSION AND ARE WELL POSITIONED TO TAKE PART IN THE DECISION-MAKING PROCESS. WOMEN'S GROUPS HAVE ALSO MANAGED TO INTEGRATE GENDER IN REDD PROCESS

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:  
TRANSITION FROM INCUBATION TO ESTABLISHMENT PHASE IN 2017.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:  
ASSESSMENT REALIZED IN COLLABORATION WITH WOODS HOLE RESEARCH CENTRE AND WORLD RESOURCE INSTITUTE, SHOWING THAT FOREST COMMUNITIES MANAGE AT LEAST ONE QUARTER OF THE ABOVE GROUND CARBON IN THE TROPICS. STUDY FINDINGS ACHIEVED WIDESPREAD MEDIA ATTENTION AHEAD OF COP22 AND WERE QUOTED IN HIGH-LEVEL PANELS DURING THE ENTIRE MARRAKECH CONFERENCE.

RRI CONTRIBUTED TO THE ADVANCEMENT OF INDIGENOUS AND LOCAL COMMUNITY FOREST RIGHTS IN THREE KEY COUNTRIES WITH SIGNED EU-FLEGT VOLUNTARY PARTNERSHIP AGREEMENTS (VPAS). IN INDONESIA, RRI COALITION PROMOTED GREATER ACCOUNTABILITY AND TRANSPARENCY IN FOREST GOVERNANCE THROUGH THE RECOGNITION OF CUSTOMARY (ADAT) FOREST RIGHTS AND AGRARIAN REFORMS IN SUPPORT OF COMMUNITY FOREST ENTERPRISE AND CONFLICT RESOLUTION. IN LIBERIA, RRI SUPPORTED COLLABORATOR ENGAGEMENT WITH THE FLEGT/VPA NATIONAL MULTI-STAKEHOLDER MONITORING COMMITTEE AND THE FORESTRY DEVELOPMENT AUTHORITY (FDA) TO ENSURE COMMUNITY FORESTS WERE NOT MISAPPROPRIATED BY INDUSTRIAL LOGGING COMPANIES, AND THAT SAFEGUARDS WERE OBSERVED. IN DRC, RRI COLLABORATORS SUCCESSFULLY PUSHED FOR THE

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INCLUSION OF GENDER CONSIDERATIONS IN THE FLEGT-VPA AND RELATED LEGAL PRECEDENTS RECOGNIZING COMMUNITY FOREST RIGHTS.

IN RELATED EFFORTS IN NEPAL, COMMUNITY FORESTRY GROUPS, WOMEN'S GROUPS AND INDIGENOUS GROUPS JOINED TOGETHER IN A POSITION PAPER ASSERTING THAT NO REDD+ PROGRAM CAN BE TAKEN UP WITHOUT RECOGNITION OF COMMUNITY AND INDIGENOUS RIGHTS OVER FORESTS.

THE LEGITIMACY AND LEADERSHIP OF THE INTERLAKEN GROUP WAS STRENGTHENED THROUGH EXPANDED ENGAGEMENT OF CORPORATES AND INVESTORS AT CDC-HOSTED MEETING IN SEPTEMBER 2016, WHICH BROUGHT TOGETHER REPRESENTATIVES FROM EUROPEAN DEVELOPMENT FINANCE INSTITUTIONS (EDFIS) - INCLUDING FMO, PROPARCO, DEG, PIDG, AND SWEDFUND, IN ADDITION TO MEMBERS CDC AND EIB, TO UPDATE AND STRENGTHEN COMMITMENTS ON THE VGGTS. THE MEETING REVEALED DEMONSTRATED SHAREHOLDER DEMAND FOR VGGT IMPLEMENTATION, AS WELL AS EVIDENCE OF POLICY DIALOGUES AT NATIONAL LEVELS TO UPDATE RELEVANT TRADE AND COMMERCIAL POLICIES, AND PORTFOLIO REVIEWS TO ASSESS IMPACTS ON LAND RIGHTS USING THE INTERLAKEN GROUP'S LAND AND FOREST RIGHTS GUIDE AS THEIR PRIMARY POINT OF ENTRY. CORPORATE AND INVESTOR ENGAGEMENT IN 2016 SENT A CLEAR SIGNAL THAT THE IG PROCESS CONSTITUTES CREDIBLE SOLUTION PATHWAY TO ADDRESSING TENURE RISK.

THE GCA WAS OFFICIALLY LAUNCHED IN MARCH 2016 WITH ENDORSEMENTS FROM 553 INDIGENOUS AND CIVIL SOCIETY ORGANISATIONS, NATIONAL AND INTERNATIONAL NGOS, AND NATIONAL EVENTS IN THE NETHERLANDS, ITALY AND WASHINGTON DC THAT RAISED GLOBAL MEDIA ATTENTION. THE GCA HEIGHTENED GLOBAL AWARENESS OF THE LIBERIA FOREST RIGHTS ACT AND INDIA'S FOREST RIGHTS ACT, SUPPORTED POLICY ROUNDTABLES WITH GOLDMAN PRIZE WINNER

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EDWARD LOURE AND DIALOGUES DURING THE UNPFII AND UN GENERAL ASSEMBLY WITH THE MINISTER TRADE AND DEVELOPMENT OF THE GOVERNMENT OF THE NETHERLANDS, LEADING TO JOINT ENGAGEMENTS WITH THE GOVERNMENT OF THE NETHERLANDS AT THE UNGA AND COP 22, AS WELL AS SUPPORT FROM OTHER INSTITUTIONS (E.G., EU, IFAD, AND USAID) IN RELEVANT GCA EVENTS. THE GCA IS CREDITED WITH MOBILIZING AS MANY AS 60 EVENTS ACROSS 29 COUNTRIES, CONTRIBUTING TO POLICY DIALOGUES ON RIGHTS FOR IPS AND LOCAL COMMUNITIES IN VARIOUS JURISDICTIONS (E.G., KENYA AND PANAMA), AND STRENGTHENING ACTOR COORDINATION AND COLLABORATION AROUND KEY POLICY GOALS AND EVENTS.

CONSOLIDATION OF KEY RRI NETWORKS AND INITIATIVES WAS LAUNCHED WITH THE INTERLAKEN GROUP'S ENDORSEMENT OF EFFORTS TO EXPAND ITS IMPACT AND COORDINATING WITH RELATED INITIATIVES (NAMELY THE TENURE FACILITY, LANDMARK, AND MEGAFLORESTAIS) TO: SCALE UP AWARENESS OF IG TOOLS; INITIATE DIALOGUE IN PRIORITY COUNTRIES; CONTINUE PRODUCTION OF TOOLS; AND EXPAND MEMBERSHIP OF THE GROUP - RESPONDING TO DEMANDS FOR INFORMATION EXCHANGE, LEARNING, AND SOLUTIONS TO ADDRESS LOCAL LAND TENURE ISSUES. LINKS BETWEEN GENDER AND PRIVATE SECTOR INVESTMENTS WERE EXPLORED VIA DEDICATED STUDIES ON THE IMPACTS OF LARGE SCALE LAND ACQUISITIONS, AND EFFORTS TO MAP CONCESSIONS VIA LANDMARK WERE INITIATED.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

COALITION AND COMMUNICATIONS

THE RRI COALITION BROADENED ITS INFLUENCE BY INCLUDING 2 ADDITIONAL PARTNERS, 5 AFFILIATED NETWORKS AND 6 FELLOWS REPRESENTING NEW GEOGRAPHIES AND CONSTITUENCIES. THROUGH INCREASED COMMUNICATION AND

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CLOSER COLLABORATION, THE COALITION WAS STRENGTHENED AND RE-ENERGIZED.

A COMMUNITY-TO-COMMUNITY EXCHANGE TOOK PLACE BETWEEN INDONESIA AND MEXICO, AND REPRESENTED THE FIRST STAGE TOWARDS THE DEVELOPMENT OF INTERNATIONAL CENTERS OF COMMUNITY EXCELLENCE ON SUSTAINABLE FOREST MANAGEMENT AND COMMUNITY FORESTRY. SEVERAL INDIGENOUS AND CIVIL SOCIETY ORGANIZATIONS ENDORSED THIS BROADER IDEA AND A LARGER EXCHANGE WILL BE HELD IN 2017.

IT WAS DECIDED THAT FP3 WOULD COVER THE 2018-2021 PERIOD IN ORDER TO AVOID OVERLAP WITH FP2 IN 2017. A STRATEGY AND TIMELINE WAS DEVELOPED FOR FP3 AND PARTNERS, AFFILIATED NETWORKS AND FELLOWS PROVIDED SOLID COMMENTS ON THE CONCEPT NOTE AT THE NOVEMBER GLOBAL SCAN MEETING. THE LARGER PROPOSAL WILL BE DEVELOPED IN EARLY 2017.

EXPENSES \$ 1,526,441. INCLUDING GRANTS OF \$ 63,705. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11B:

THIS RETURN WAS SUBMITTED FOR THE REVIEW OF THE BOARD OF DIRECTORS PRIOR TO FILING. THE TREASURER OF THE BOARD REVIEWED THE RETURN IN DETAIL, REFERENCING THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS FOR THE CORRESPONDING YEAR. THE BOARD REVIEWED THE RETURN IN SUMMARY WITH INPUT FROM THE TREASURER, PRESIDENT AND CHIEF OPERATING OFFICER. QUESTIONS ABOUT SPECIFIC ITEMS OR SECTIONS WERE ANSWERED WITH SUPPLEMENTAL DETAIL PROVIDED AS APPROPRIATE.

FORM 990, PART VI, SECTION B, LINE 12C:

DIRECTORS AND OFFICERS ARE REQUIRED TO REVIEW THE CONFLICT OF INTEREST POLICY ANNUALLY AND TO SIGN ANNUALLY THEIR COMMITMENT TO ABIDE BY THE

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POLICY. THE POLICY REQUIRES THAT ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST BE COMMUNICATED TO THE BOARD AS SOON AS THEY ARE KNOWN, AND THE FACTS AND BOARD DECISIONS CONCERNING THE CONFLICT BE DOCUMENTED IN THE MINUTES OF THE MEETINGS OF THE BOARD. THE BOARD AND OFFICERS ASSESS AT LEAST ANNUALLY WHETHER ALL OFFICERS AND DIRECTORS ARE IN COMPLIANCE WITH THE POLICY BASED ON ALL FACTS KNOWN TO THE BOARD AND OFFICERS. AN AUDIT OF THE POLICY AND ITS IMPLEMENTATION WAS UNDERTAKEN IN LATE 2011 BY AN INDEPENDENT CONSULTANT, AND RECOMMENDATIONS FOR IMPROVEMENTS AND ENSURING RIGOROUS ADHERENCE TO THE POLICY AND COMPREHENSIVE DOCUMENTATION OF THIS ADHERENCE WERE IMPLEMENTED IN 2012.

FORM 990, PART VI, SECTION B, LINE 15:

THE PRESIDENT IS THE TOP MANAGEMENT OFFICIAL OF THE ORGANIZATION. AN ASSESSMENT OF OTHER NON-PROFIT ORGANIZATIONS IS PERIODICALLY UNDERTAKEN BASED ON COMPENSATION FIGURES REPORTED ON IRS 990 RETURNS FROM COMPARABLE ORGANIZATIONS AND OTHER SOURCES OF COMPENSATION AT THE REQUEST OF THE BOARD. ASSESSED ORGANIZATIONS ARE SELECTED TO MOST CLOSELY RESEMBLE RRG IN TERMS OF BUDGET, NUMBER OF EMPLOYEES, COMPLEXITY OF PROGRAMS, ROLE, EXPERTISE, AND GEOGRAPHIC LOCATION. IN A SESSION CLOSED TO THE PRESIDENT, THE BOARD REVIEWS THE ASSESSMENT, THE PERFORMANCE OF THE PRESIDENT, AND MAY CONSIDER OTHER RELEVANT FACTORS IN DETERMINING WHETHER A SALARY ADJUSTMENT IS WARRANTED, WHICH IS THEN SUBJECT TO VOTING BY THE BOARD. THIS PROCESS WAS LAST UNDERTAKEN IN JANUARY 2013.

FORM 990, PART VI, SECTION C, LINE 18:

RRG POSTS THE AUDITED FINANCIAL STATEMENTS AND FORM 990 ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO BEST PRACTICES IN TRANSPARENCY AND

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

## ACCOUNTABILITY.

FORM 990, PART VI, SECTION C, LINE 19:

RRG POSTS ITS AUDITED FINANCIAL STATEMENTS ON ITS WEBSITE, AVAILABLE TO THE  
GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S  
COMMITMENT TO TRANSPARENCY AND ACCOUNTABILITY.