

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning and ending

B Check if applicable: C Name of organization: RIGHTS AND RESOURCES INSTITUTE, INC. D Employer identification number: 20-3690821
E Telephone number: 202-470-3900
G Gross receipts \$: 10,606,715.
H(a) Is this a group return for subordinates? Yes No
H(b) Are all subordinates included? Yes No
I Tax-exempt status: 501(c)(3)
J Website: WWW.RIGHTSANDRESOURCES.ORG
K Form of organization: Corporation
L Year of formation: 2005
M State of legal domicile: DC

Part I Summary

Table with 3 columns: Description, Prior Year, Current Year. Rows include: 1. Mission statement, 2-7. Activities & Governance, 8-12. Revenue, 13-19. Expenses, 20-22. Net Assets or Fund Balances.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Signature of officer: THOMAS A WHITE, PRESIDENT
Print/Type preparer's name, Preparer's signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM NATURAL

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 4,342,061. including grants of \$ 4,647,871. ) (Revenue \$ 38,000. ) COUNTRY AND REGIONAL PROGRAMS OVERARCHING ACCOMPLISHMENTS OF THE COUNTRY AND REGIONAL PROGRAMS IN 2013 INCLUDED:

A) INDONESIAN NGOS ENGAGED IN THE ROAD MAP WITH RRI ARE NOW PREPARING A STRATEGY TO IMPLEMENT THE 2013 SUPREME COURT DECISION AND CONTINUING TO ADVISE THE ANTI-CORRUPTION COMMISSION AND ADVOCATING FOR PASSAGE OF AN INDIGENOUS PEOPLES RIGHTS BILL; B) LAO PDR FOREST AND LAND REFORMS ARE STALLED AS THE POLITBURO IS IN THE CONTROL OF THOSE ANTI-REFORM LEADERS WHOSE RESPONSE TO CIVIL SOCIETY AND DONOR ACTIVISM HAS BEEN REPRESSION OF CIVIL LIBERTIES AND PROTESTS; C) LAND POLICY IN LIBERIA WAS APPROVED BY THE EXECUTIVE BRANCH AND IS IN PROCESS OF SUBMISSION TO THE CONGRESS FOR LEGISLATION; D) CAMEROON COUNTRY COALITION SUBMITTED A STRONG SET

4b (Code: ) (Expenses \$ 1,024,254. including grants of \$ ) (Revenue \$ ) STRATEGIC ANALYSIS IN 2013, THE NEW DIRECTIONS WERE INTEGRATED INTO ALL REGIONAL AND GLOBAL PROGRAMS.

A) THE GENDER JUSTICE PROGRAM HAS IDENTIFIED A STRATEGIC NICHE, AND GROWN, CONSTITUTING ALMOST \$500,000 IN PROGRAM ACTIVITIES IN 2013; A REFERENCE GROUP OF INTERNATIONAL EXPERTS WAS CONSTITUTED AND IS ALREADY PROVIDING GUIDANCE TO THE RRI PROGRAM, AND NEW RESEARCH ON WOMENS FOREST TENURE RIGHTS TO INFORM ON-GOING FOREST REFORMS WAS COMPLETED IN CAMEROON AND CHINA; B) RRI SCALED-UP ITS EFFORTS TO ENGAGE PRIVATE SECTOR INVESTORS, INCLUDING THE LAUNCH OF INFLUENTIAL ANALYSIS ON TENURE AS A FINANCIAL RISK, HIRING NEW STAFF IN RRG, AND ESTABLISHING A PROMISING WORKING GROUP OF LEADERS FROM NGOS AND LEADING PRIVATE

4c (Code: ) (Expenses \$ 960,047. including grants of \$ ) (Revenue \$ ) STRATEGIC INITIATIVES

A) THE INSTITUTIONAL DESIGN, APPRAISAL AND CONSULTATION PROCESS FOR THE TENURE FACILITY CONTINUED. FACILITY TECHNICAL AND ADVISORY COMMITTEE MEETINGS WERE HELD, FACILITY PLANS WERE PRESENTED TO PARTNERS, DONORS AND OTHER CONSTITUENCIES FOR FEEDBACK, AND A DESIGN DOCUMENT WAS REVISED TO INCORPORATE ADDITIONAL ANALYSIS AND INPUT. GIVEN RRG STAFF CONSTRAINTS, CONSULTATION AND APPRAISAL ARE NOT YET COMPLETED AND WILL BE FINALIZED IN 2014;

B) RRI DID ACHIEVE AN IMPORTANT BREAKTHROUGH IN GAINING HIGH-LEVEL COMMITMENT, ACROSS A BROADER SET OF CRITICAL CONSTITUENCIES AND ORGANIZATIONS IN THE INTERLAKEN CONFERENCE. THIS CONFERENCE WAS THE FIRST GLOBAL CONVENING ON COMMUNITY LAND RIGHTS AND WAS VERY

4d Other program services (Describe in Schedule O.) (Expenses \$ 2,107,565. including grants of \$ ) (Revenue \$ )

4e Total program service expenses 8,433,927.

**Part IV Checklist of Required Schedules**

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> .....	X	
2	Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? .....	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> .....		X
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> .....		X
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> .....		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> .....		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> .....		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> .....		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> .....		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> .....		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> .....	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> .....		X
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> .....		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> .....		X
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> .....		X
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> .....	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> .....	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> .....		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> .....		X
14a	Did the organization maintain an office, employees, or agents outside of the United States? .....	X	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> .....	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> .....	X	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> .....		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> .....		X
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> .....		X
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> .....		X
20a	Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> .....		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		

**Part IV Checklist of Required Schedules** (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> .....	X	
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
24b			
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
24c			
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		
24d			
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....		X
25b			X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II .....		X
26			X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> .....		X
27			X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
28a			X
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
28b			X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
28c			X
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....		X
29			X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....		X
30			X
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		X
31			X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		X
32			X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....		X
33			X
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....		X
34			X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....		X
35a			X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		
35b			
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
36			X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		X
37			X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? .....	X	
38		X	

**Note.** All Form 990 filers are required to complete Schedule O .....

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Main form area containing questions 1a through 14b with Yes/No columns and input fields for numerical values.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (11), 1b (10), 2 (X), 3 (X), 4 (X), 5 (X), 6 (X), 7a (X), 7b (X), 8a (X), 8b (X), 9 (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a (X), 10b, 11a (X), 11b, 12a (X), 12b (X), 12c (X), 13 (X), 14 (X), 15a (X), 15b (X), 16a (X), 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed NONE
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [X] Own website [X] Another's website [ ] Upon request [ ] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: THOMAS A WHITE - 202-470-3900 1238 WISCONSIN AVENUE NW SUITE 300, WASHINGTON, DC 20007

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) JOHN HUDSON CHAIR	4.00	X		X				0.	0.	0.
(2) JEAN ADEN SECRETARY	1.00	X		X				0.	0.	0.
(3) DON G ROBERTS TREASURER	1.50	X		X				0.	0.	0.
(4) GHAN SHYAM PANDEY MEMBER, EXECUTIVE COMMITTEE	0.50	X						0.	0.	0.
(5) DORIS CAPISTRANO DIRECTOR	0.50	X						0.	0.	0.
(6) JAMES MUROMBEDZI DIRECTOR	0.50	X						0.	0.	0.
(7) KYERETWEI OPOKU DIRECTOR	0.50	X						0.	0.	0.
(8) UJJWAL PRADHAN DIRECTOR	0.50	X						0.	0.	0.
(9) VICTORIA TAULI-CORPUZ DIRECTOR	0.50	X						0.	0.	0.
(10) SUSAN KANDEL DIRECTOR	0.50	X						0.	0.	0.
(11) THOMAS A WHITE PRESIDENT AND RRI COORDINATOR	40.00	X		X				181,822.	0.	40,566.
(12) ARVIND KHARE EXECUTIVE DIRECTOR	40.00			X				149,158.	0.	21,763.
(13) JAMES-CHRISTOPHER MILLER DIRECTOR, FINANCE & ADMINISTRATION	40.00			X				106,053.	0.	23,002.
(14) LUIS COLOMER CHIEF OPERATING OFFICER	40.00			X				47,879.	0.	9,467.
(15) AUGUSTA MOLNAR SR. DIRECTOR, COUNTRY AND REGIONAL P	40.00					X		137,356.	0.	20,585.

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
<b>1b Sub-total</b> .....							622,268.	0.	115,383.	
<b>c Total from continuation sheets to Part VII, Section A</b> .....							0.	0.	0.	
<b>d Total (add lines 1b and 1c)</b> .....							622,268.	0.	115,383.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **4**

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> .....		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> .....	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> .....		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
BURNES COMMUNICATIONS, 7910 WOODMONT AVENUE #700, BETHESDA, MD 20814-7034	MEDIA OUTREACH	214,938.
RADICAL INCLUSION, INC, 375 N. STEPHANIE STREET # 1411, HENDERSON, NV 89014	FACILITATION	127,875.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **2**



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A)	(B)	(C)	(D)	
			Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns	<b>1a</b>					
	<b>b</b> Membership dues	<b>1b</b>					
	<b>c</b> Fundraising events	<b>1c</b>					
	<b>d</b> Related organizations	<b>1d</b>					
	<b>e</b> Government grants (contributions)	<b>1e</b>	10,300,212.				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	254,000.				
	<b>g</b> Noncash contributions included in lines 1a-1f: \$						
	<b>h Total.</b> Add lines 1a-1f			10,554,212.			
	<b>Program Service Revenue</b>	<b>2 a</b> SERVICE CONTRACTS	<b>Business Code</b>				
		900099	38,000.	38,000.			
<b>b</b>							
<b>c</b>							
<b>d</b>							
<b>e</b>							
<b>f</b> All other program service revenue							
<b>g Total.</b> Add lines 2a-2f			38,000.				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		5,158.			5,158.	
	<b>4</b> Income from investment of tax-exempt bond proceeds						
	<b>5</b> Royalties						
	<b>6 a</b> Gross rents	(i) Real					
		(ii) Personal					
		<b>b</b> Less: rental expenses					
		<b>c</b> Rental income or (loss)					
	<b>d</b> Net rental income or (loss)						
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities					
		(ii) Other					
		<b>b</b> Less: cost or other basis and sales expenses					
		<b>c</b> Gain or (loss)					
	<b>d</b> Net gain or (loss)						
	<b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	<b>a</b>					
		<b>b</b> Less: direct expenses					
<b>c</b> Net income or (loss) from fundraising events							
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19	<b>a</b>						
	<b>b</b> Less: direct expenses						
	<b>c</b> Net income or (loss) from gaming activities						
<b>10 a</b> Gross sales of inventory, less returns and allowances	<b>a</b>						
	<b>b</b> Less: cost of goods sold						
	<b>c</b> Net income or (loss) from sales of inventory						
<b>Miscellaneous Revenue</b>		<b>Business Code</b>					
<b>11 a</b> OTHER	900099	9,345.			9,345.		
<b>b</b>							
<b>c</b>							
<b>d</b> All other revenue							
<b>e Total.</b> Add lines 11a-11d			9,345.				
<b>12 Total revenue.</b> See instructions.			10,606,715.	38,000.	0.	14,503.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	556,251.	556,251.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	4,091,620.	4,091,620.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	737,650.	475,433.	248,256.	13,961.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,258,325.	744,467.	447,159.	66,699.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	77,631.	45,619.	27,815.	4,197.
9 Other employee benefits	109,972.	67,750.	36,931.	5,291.
10 Payroll taxes	151,989.	90,805.	54,377.	6,807.
11 Fees for services (non-employees):				
a Management	46,090.		46,090.	
b Legal	13,548.		13,548.	
c Accounting	44,276.		44,276.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	797,175.	739,711.	57,464.	
12 Advertising and promotion				
13 Office expenses	149,016.	31,232.	117,105.	679.
14 Information technology				
15 Royalties				
16 Occupancy	218,267.	181,910.	34,178.	2,179.
17 Travel	535,553.	533,708.	1,588.	257.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	328,886.	326,791.	2,095.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	94,168.	78,482.	14,746.	940.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PUBLICATIONS	470,129.	469,654.	475.	
b MISCELLANEOUS	123,499.	494.	123,005.	
c				
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	9,804,045.	8,433,927.	1,269,108.	101,010.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A)		(B)	
		Beginning of year		End of year	
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	175,314.	1	2,798,000.	
	<b>2</b> Savings and temporary cash investments .....	2,578,215.	2		
	<b>3</b> Pledges and grants receivable, net .....	662,707.	3	813,032.	
	<b>4</b> Accounts receivable, net .....	5,814.	4		
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5		
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		6		
	<b>7</b> Notes and loans receivable, net .....		7		
	<b>8</b> Inventories for sale or use .....		8		
	<b>9</b> Prepaid expenses and deferred charges .....	142,278.	9	74,603.	
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	<b>10a</b> 512,472.			
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b> 271,748.	275,233.	<b>10c</b> 240,724.	
	<b>11</b> Investments - publicly traded securities .....		11		
	<b>12</b> Investments - other securities. See Part IV, line 11 .....		12		
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		13		
	<b>14</b> Intangible assets .....		14		
	<b>15</b> Other assets. See Part IV, line 11 .....	21,642.	15	205,628.	
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	3,861,203.	16	4,131,987.		
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	671,325.	17	770,984.	
	<b>18</b> Grants payable .....		18		
	<b>19</b> Deferred revenue .....	2,509,333.	19	1,869,543.	
	<b>20</b> Tax-exempt bond liabilities .....		20		
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		21		
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22		
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		23		
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		24		
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	-8,245.	25	0.	
	<b>26 Total liabilities.</b> Add lines 17 through 25 .....	3,172,413.	26	2,640,527.	
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>				
	<b>27</b> Unrestricted net assets .....	562,290.	27	909,279.	
	<b>28</b> Temporarily restricted net assets .....	126,500.	28	582,181.	
	<b>29</b> Permanently restricted net assets .....		29		
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>				
	<b>30</b> Capital stock or trust principal, or current funds .....		30		
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....		31		
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		32		
<b>33</b> Total net assets or fund balances .....	688,790.	33	1,491,460.		
<b>34</b> Total liabilities and net assets/fund balances .....	3,861,203.	34	4,131,987.		

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	10,606,715.
2	Total expenses (must equal Part IX, column (A), line 25)	2	9,804,045.
3	Revenue less expenses. Subtract line 2 from line 1	3	802,670.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	688,790.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,491,460.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**  
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization

**RIGHTS AND RESOURCES INSTITUTE, INC.**

Employer identification number

**20-3690821**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
  - a  Type I      b  Type II      c  Type III - Functionally integrated      d  Type III - Non-functionally integrated
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 

	Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? .....	<b>11g(i)</b>	
(ii) A family member of a person described in (i) above? .....	<b>11g(ii)</b>	
(iii) A 35% controlled entity of a person described in (i) or (ii) above? .....	<b>11g(iii)</b>	
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	3,216,548.	6,303,295.	7,266,273.	8,687,678.	10,554,212.	36,028,006.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....	3,216,548.	6,303,295.	7,266,273.	8,687,678.	10,554,212.	36,028,006.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						4,662,882.
<b>6 Public support.</b> Subtract line 5 from line 4.						31,365,124.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
<b>7</b> Amounts from line 4 .....	3,216,548.	6,303,295.	7,266,273.	8,687,678.	10,554,212.	36,028,006.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....	3,807.	7,943.	4,422.	3,602.	5,158.	24,932.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....						
<b>11 Total support.</b> Add lines 7 through 10						36,052,938.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	562,820.
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) .....	<b>14</b>	87.00	%
<b>15</b> Public support percentage from 2012 Schedule A, Part II, line 14 .....	<b>15</b>	94.29	%
<b>16a 33 1/3% support test - 2013.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....	<input checked="" type="checkbox"/>		
<b>b 33 1/3% support test - 2012.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>		
<b>17a 10% -facts-and-circumstances test - 2013.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>		
<b>b 10% -facts-and-circumstances test - 2012.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	<input type="checkbox"/>		
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....	<input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2012 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2013</b> (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from <b>2012</b> Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**b 33 1/3% support tests - 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

Name of the organization

Employer identification number

RIGHTS AND RESOURCES INSTITUTE, INC.

20-3690821

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
RIGHTS AND RESOURCES INSTITUTE, INC.	20-3690821

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ACACIA PARTNERS LP 9 WEST 57TH STREET 50TH FLOOR NEW YORK, NY 10019	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	DEPARTMENT FOR INTERNATIONAL DEVELOPMENT 1 PALACE STREET LONDON, UNITED KINGDOM SW1E 5HE	\$ 4,261,478.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	MINISTRY FOR FOREIGN AFFAIRS OF FINLAND KANAVAKATU 3 HELSINKI, FINLAND 00160	\$ 898,298.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	FORD FOUNDATION 320 EAST 43RD STREET NEW YORK, NY 10017-4801	\$ 1,951,302.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	DEDUTSCHE GESELLCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT GMBH DAG-HAMMARSKJOLD-WEG 1-5 ESCHBORN, GERMANY 65760	\$ 515,421.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	NORWEGIAN AGENCY FOR DEVELOPMENT CORP RUSELOKKVEIEN 26 OSLO, NORWAY 0251	\$ 2,096,104.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>RIGHTS AND RESOURCES INSTITUTE, INC.</b>	Employer identification number <b>20-3690821</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	SWEDISH INTERNATIONAL DEVELOPMENT COOPERATION AGENCY  VALHALLAVAGAN 199  STOCKHOLM, SWEDEN 10525	\$ 305,982.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization  <b>RIGHTS AND RESOURCES INSTITUTE, INC.</b>	Employer identification number  <b>20-3690821</b>
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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

<b>Name of organization</b>	<b>Employer identification number</b>
<b>RIGHTS AND RESOURCES INSTITUTE, INC.</b>	<b>20-3690821</b>

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	

**SCHEDULE D**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**  
▶ **Attach to Form 990.**

▶ **Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization

**RIGHTS AND RESOURCES INSTITUTE, INC.**

Employer identification number

**20-3690821**

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate contributions to (during year) .....		
3 Aggregate grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education)       Preservation of an historically important land area

Protection of natural habitat       Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

Yes  No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

Yes  No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 .....

▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X .....

▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 .....

▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X .....

▶ \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other \_\_\_\_\_

- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

- b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	<b>1c</b>
d Additions during the year	<b>1d</b>
e Distributions during the year	<b>1e</b>
f Ending balance	<b>1f</b>

- 2a Did the organization include an amount on Form 990, Part X, line 21?  Yes  No

- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  \_\_\_\_\_ %
- b Permanent endowment  \_\_\_\_\_ %
- c Temporarily restricted endowment  \_\_\_\_\_ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

- b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements	294,939.		138,554.	156,385.
d Equipment	160,310.		115,985.	44,325.
e Other	57,223.		17,209.	40,014.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				<b>240,724.</b>

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	10,606,715.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains on investments	<b>2a</b>	
<b>b</b>	Donated services and use of facilities	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.)	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	0.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	10,606,715.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	0.
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)	<b>5</b>	10,606,715.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	9,804,045.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities	<b>2a</b>	
<b>b</b>	Prior year adjustments	<b>2b</b>	
<b>c</b>	Other losses	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.)	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	0.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	9,804,045.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	0.
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	<b>5</b>	9,804,045.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART X, LINE 2:**

**EXPLANATION: RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2013 AND 2012 THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2010 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES.**



**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2013**

Open to Public Inspection

Name of the organization: **RIGHTS AND RESOURCES INSTITUTE, INC.**  
Employer identification number: **20-3690821**

**Part I** General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
CENTRAL AMERICA AND THE CARIBBEAN	0	5	GRANTS TO RECIPIENTS		336,162.
EAST ASIA AND THE PACIFIC	0	11	GRANTS TO RECIPIENTS		747,169.
EUROPE	0	3	GRANTS TO RECIPIENTS		248,270.
SOUTH AMERICA	0	6	GRANTS TO RECIPIENTS		382,180.
SOUTH ASIA	0	6	GRANTS TO RECIPIENTS		294,639.
SUB-SAHARAN AFRICA	0	13	GRANTS TO RECIPIENTS		1,430,798.
<b>3 a</b> Sub-total .....	0	44			3,439,218.
<b>b</b> Total from continuation sheets to Part I .....	0	0			0.
<b>c</b> Totals (add lines 3a and 3b) .....	0	44			3,439,218.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		CENTRAL AMERICA AND THE CARIBBEAN	CO-MANAGEMENT PROCESS WITH TEN COMMUNITY BASED ORGANIZATIONS	47,562.		0.		
		CENTRAL AMERICA AND THE CARIBBEAN	COMMUNICATIONS CAMPAIGN TO PROMOTE THE SOCIAL, ENVIRONMENTAL AND	40,000.		0.		
		CENTRAL AMERICA AND THE CARIBBEAN	SYSTEMATIZING STRATEGIES FOR LAND OWNERSHIP CLARIFICATION IN	30,000.		0.		
		CENTRAL AMERICA AND THE CARIBBEAN	PROMOTION OF A GENDER JUSTICE PERSPECTIVE AS A KEY COMPONENT OF RRI REGIONAL AND	43,000.		0.		
		CENTRAL AMERICA AND THE CARIBBEAN	PROMOTING EXCHANGE OF EXPERIENCES AND LESSONS LEARNED IN INTEGRATED FOREST	70,000.		0.		
		CENTRAL AMERICA AND THE CARIBBEAN	LEVERAGING KEY INTERNATIONAL SUPPORT AT A CRITICAL JUNCTURE FOR	60,600.		0.		
		CENTRAL AMERICA AND THE CARIBBEAN	PART 1: BUILDING CONSENSUS AND HIGHLIGHTING INTEGRATED NATURAL	45,000.		0.		
		EAST ASIA AND THE PACIFIC	MEETING OF THE COMMUNITY TENURE RIGHTS LEGAL REFERENCE GROUP	61,268.		0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities ▶ \_\_\_\_\_

SEE PART V FOR COLUMN (D) DESCRIPTIONS

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	INITIAL ASSESSMENT OF CHINESE INVESTMENTS ABROAD	55,342.		0.		
		EAST ASIA AND THE PACIFIC	LEGAL AUDIT ON DISTRICT REGULATIONS TO IDENTIFY POLICIES THAT FAIL TO PROTECT	20,000.		0.		
		EAST ASIA AND THE PACIFIC	PROMOTE DEVELOPMENT OF MARKETABLE COMMUNITY FOREST ENTERPRISE (CFE)	20,000.		0.		
		EAST ASIA AND THE PACIFIC	CONFLICT ANALYSIS: ACCELERATING THE DESIGN OF FORESTRY CONFLICT RESOLUTION	25,000.		0.		
		EAST ASIA AND THE PACIFIC	IMPROVING PUBLIC AWARENESS TO SUPPORT FOREST TENURE REFORM STRATEGIES IN	46,211.		0.		
		EAST ASIA AND THE PACIFIC	QUANTITATIVE ANALYSIS OF TENURE REFORMS IN ETHNIC MINORITY AREAS	100,099.		0.		
		EAST ASIA AND THE PACIFIC	FINALIZE SECOND ROUND SURVEY ON TENURE REFORM AND CIRCULATE DATA, ANALYSES AND	15,015.		0.		
		EAST ASIA AND THE PACIFIC	CAPACITY-BUILDING, EDUCATION AND ADVOCACY TRAINING TO PAPUAN COMMUNITIES	30,000.		0.		
		EAST ASIA AND THE PACIFIC	REGIONAL FACILITATION SUPPORT TO RRI ACTIVITIES IN ASIA	120,315.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	COMMUNITY FORESTRY CHAMPIONS NETWORK: TACKLING THE OBSTACLES TO	49,950.		0.		
		EAST ASIA AND THE PACIFIC	EXPOSURE VISITS FOR LAO COMMUNITY RESOURCE PERSONS TO STRENGTHEN CIVIL	6,971.		0.		
		EAST ASIA AND THE PACIFIC	COMPONENT 1: ORGANIZING THE NEXT GENERATION OF IP & COMMUNITY LEADERS.	96,998.		0.		
		EAST ASIA AND THE PACIFIC	GLOBAL CONFERENCE OF INDIGENOUS PEOPLES ON LESSONS AND GOOD PRACTICES ON	100,000.		0.		
		EUROPE	INTERNATIONAL FOREST TENURE FACILITY	18,270.		0.		
		EUROPE	TRAINING OF ADDITIONAL SOCIAL EXPERTS ON FPIC AND CONFLICT RESOLUTION	100,000.		0.		
		EUROPE	DIALOGUES WITH HUMAN RIGHTS COMMISSIONS WITHIN ASEAN FRAMEWORK TO SHARE	75,000.		0.		
		EUROPE	DEVELOP GUIDELINES FOR [ ] CLEAN AND CLEAR [ ] AND CONFLICT RESOLUTION POLICY	10,000.		0.		
		EUROPE	FOLLOW THE PROCESSES TO RECOGNIZE/PROTECT RIGHTS IN CAMEROON [ ] REDD STRATEGY	5,000.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE	MULTI-STAKEHOLDER CONSULTATION AND DIALOGUE OF AGRIBUSINESS AND	40,000.		0.		
		SOUTH AMERICA	CONTRIBUTING TO A RIGHTS FOCUS IN COLOMBIA NATIONAL REDD+ SOCIAL AND	45,000.		0.		
		SOUTH AMERICA	ANALYSIS OF INVESTMENT PROJECTS IN INFRASTRUCTURE, AGRIBUSINESS, ENERGY,	45,000.		0.		
		SOUTH AMERICA	MONITORING THE ONGOING PROCESS TO CHANGE THE LEGAL STATUS OF TERRITORIAL	20,000.		0.		
		SOUTH AMERICA	STRENGTHENING THE LEGAL FRAMEWORK FOR RECOGNITION OF NATIVE COMMUNITIES AND THEIR	25,000.		0.		
		SOUTH AMERICA	RAISING AWARENESS OF TERRITORIAL RIGHTS OF NATIVE COMMUNITIES IN REDD+ NATIONAL	15,000.		0.		
		SOUTH AMERICA	LESSONS LEARNED FROM CONCRETE EXPERIENCES OF INTEGRAL MANAGEMENT OF	28,000.		0.		
		SOUTH AMERICA	FACILITIES AND OFFICE SPACE SUPPORT TO RRI REGIONAL FACILITATOR	65,830.		0.		
		SOUTH AMERICA	COMMUNICATIONS CAMPAIGN AND ADVOCACY TO SECURE TITLED TERRITORIES FOR THE	45,000.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	GIS MAP INDICATING PRESSURES FROM INVESTMENTS IN EXTRACTIVE, ENERGY	35,000.		0.		
		SOUTH AMERICA	RURAL WOMEN'S CONTRIBUTION TO THE LOCAL ECONOMY AND INTEGRAL MANAGEMENT	28,000.		0.		
		SOUTH AMERICA	INTEGRATED MANAGEMENT OF COMMUNITY FORESTS AS A SUSTAINABLE ALTERNATIVE TO	30,350.		0.		
		SOUTH ASIA	MULTI-STAKEHOLDER DIALOGUE WITH KEY POLITICAL ACTORS ON FOREST SECTOR	22,500.		0.		
		SOUTH ASIA	ANALYSIS OF ECONOMIC VALUE AND REVENUE GENERATION FROM TIMBER IN NEPAL	25,000.		0.		
		SOUTH ASIA	MOBILIZE SUPPORT FROM PUBLIC AND PRIVATE SECTOR FOR GREEN JOBS DEVELOPMENT	43,000.		0.		
		SOUTH ASIA	ADVOCACY CAMPAIGN TO ENSURE RIGHTS ARE INCLUDED IN POLICY AGENDA	35,000.		0.		
		SOUTH ASIA	GENDER INCLUSIVE POLICY ADVOCACY IN THE FORESTRY SECTOR: JOINT ADVOCACY WITH	22,500.		0.		
		SOUTH ASIA	ANALYSES OF FINANCIAL REGULATIONS, CONTRADICTORY COMPONENTS IN ONGOING	105,000.		0.		



Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	ENHANCING GRASSROOTS CAPABILITY FOR MAPPING CUSTOMARY COMMUNITY FOREST	41,639.		0.		
		SUB-SAHARAN AFRICA	INFORM & PREPARE AN ADVOCACY PLAN OF SME DEVELOPMENT INITIATIVES; DEVELOP	60,000.		0.		
		SUB-SAHARAN AFRICA	JOURNALIST SITE VISIT - CAMEROON	3,762.		0.		
		SUB-SAHARAN AFRICA	CAPITALIZE ON PILOT REDD PROJECTS & VPA DISSEMINATE BEST AND BAD PRACTICES	105,000.		0.		
		SUB-SAHARAN AFRICA	ADVOCACY TO SECURE COMMUNITY RIGHTS IN CAMEROON'S LAND REFORM PROCESS	40,000.		0.		
		SUB-SAHARAN AFRICA	DRC-LGAF VALIDATION AND LAND REFORM CONFERENCE	30,040.		0.		
		SUB-SAHARAN AFRICA	APPUI A LA PARTICIPATION DES ORGANISATIONS DE LA SOCIETE CIVILE AU	50,000.		0.		
		SUB-SAHARAN AFRICA	LEGAL ANALYSIS OF WOMEN'S PROPERTY RIGHTS WITHIN LIBERIA TO IDENTIFY	40,000.		0.		
		SUB-SAHARAN AFRICA	ADVANCING THE MODEL FOR SUSTAINED WOMEN'S ENGAGEMENT IN FORESTRY IN LIBERIA	35,000.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	REGIONAL FACILITATION SUPPORT TO RRI ACTIVITIES IN THE SAHEL	65,000.		0.		
		SUB-SAHARAN AFRICA	ENSURE LAND AND FOREST LEGISLATION AND POLICY RECOGNIZE AND PROTECT COMMUNITY	30,000.		0.		
		SUB-SAHARAN AFRICA	PROTECT, RESPECT AND REMEDY: ENSURING THAT GOVERNMENT AND THE PRIVATE SECTOR	25,000.		0.		
		SUB-SAHARAN AFRICA	DEVELOP STRATEGIES FOR SUSTAINABLE FOREST MANAGEMENT IN PIT SAWING IN LIBERIA	40,000.		0.		
		SUB-SAHARAN AFRICA	RIGHTS BASED APPROACH TO EQUITABLE AND SUSTAINABLE FOREST MANAGEMENT	20,000.		0.		
		SUB-SAHARAN AFRICA	RRI FACILITATION FOR THE SAHEL	80,000.		0.		
		SUB-SAHARAN AFRICA	SWITZERLAND CONFERENCE ON SCALING-UP GLOBAL EFFORTS TO SECURE	285,730.		0.		
		SUB-SAHARAN AFRICA	SUPPORT AND SCALE UP PARTICIPATORY, DECENTRALIZED NATURAL RESOURCE MANAGEMENT	125,000.		0.		
		SUB-SAHARAN AFRICA	RRI - MALI PLANNING MEETING 2013-2014	3,543.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	INCREASE THE CAMEROON PROGRAM'S VISIBILITY WITH A COMMUNICATION STRATEGY	15,000.		0.		
		SUB-SAHARAN AFRICA	SENSITIZE & BUILD CAPACITY FOR RURAL WOMEN LEADERS ON THEIR STRATEGIC	15,000.		0.		
		SUB-SAHARAN AFRICA	CARRY OUT ADVOCACY WITH TRADITIONAL CHIEFS IN FAVOR OF LAND ACCESS FOR WOMEN	10,000.		0.		
		SUB-SAHARAN AFRICA	TROISIEME ATELIER REGIONAL SUR LE GENRE ET LES TENURES FONCIERES ET	95,596.		0.		
		SUB-SAHARAN AFRICA	ANALYSE DE GENRE DES TEXTES JURIDIQUES DES DIFFERENTS SECTEURS CONCERNES PAR LA	25,000.		0.		
		SUB-SAHARAN AFRICA	PARLIAMENTARIAN DIALOGUE TO HARMONIZE SECTORIAL POLICIES IN CAMEROON	20,000.		0.		
		SUB-SAHARAN AFRICA	STRENGTHENING CIVIL SOCIETY AND LOCAL COMMUNITY ENGAGEMENT WITH LIBERIA'S LAND	30,000.		0.		
		SUB-SAHARAN AFRICA	STRENGTHENING COMMUNITY ENGAGEMENT WITH GOVERNMENT AND CORPORATIONS IN THE	30,000.		0.		
		SUB-SAHARAN AFRICA	SUPPORTING CFDCS TO PROMOTE RIGHTS-BASED, EQUITABLE COMMUNITY FORESTRY	20,000.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	PROMOTE COMMUNITIES RIGHTS IN PERI-URBAN ZONES, IN GROWTH POLES AND AREAS WITH	30,000.		0.		
		SUB-SAHARAN AFRICA	BUILD LOCAL COMMUNITIES CAPACITY AND TO SENSITIZE TERRITORIAL	59,000.		0.		
		SUB-SAHARAN AFRICA	PROMOTE SECURE AND EFFECTIVE LAND TENURE FOR WOMEN IN BURKINA FASO	40,000.		0.		
		SUB-SAHARAN AFRICA	RRI? BURKINA FASO PLANNING MEETING 2013?2014	3,127.		0.		

**Part III** Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* .....  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* .....  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* .....  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* .....  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* .....  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713)* .....  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

**PART I, LINE 2:**

**EXPLANATION: ALL RECIPIENTS OF GRANT FUNDS MUST DEMONSTRATE ELIGIBILITY AS EITHER A NON-PROFIT ORGANIZATION OR EQUIVALENT, OR A COLLECTIVE AND COOPERATIVE COMMUNITY-BASED ORGANIZATION PRIOR TO AWARD. RECIPIENTS MUST MAINTAIN RECORDS OF ALL EXPENSES OF THE EQUIVALENT OF US \$25 OR MORE. RECIPIENTS SUBMIT DETAILED FINANCIAL REPORTS, NARRATIVE REPORTS AND SPECIFIC DELIVERABLES, WHICH ARE REVIEWED BY RRG. RECIPIENTS MUST SUBMIT COPIES OF THEIR ANNUAL ORGANIZATIONAL AUDIT AND MANAGEMENT LETTER, PLUS A SPECIFIC AUDIT LETTER SUMMARIZING THE REVENUE AND EXPENSES ON THE GRANT. SOME ACTIVITIES EACH YEAR ARE SELECTED FOR REVIEW BY RRI'S INDEPENDENT EXTERNAL MONITOR FOR MONITORING AND EVALUATION OF PROGRESS TOWARDS RRI'S GOALS. ADDITIONAL FINANCIAL OR PROGRAMMATIC REVIEW MAY BE CONDUCTED AT RRG'S DISCRETION OR AT THE DISCRETION OF THE DONORS TO RRI.**

**PART II, COLUMN (D):**

**REGION: CENTRAL AMERICA AND THE CARIBBEAN**

**(D) PURPOSE OF GRANT: COMMUNICATIONS CAMPAIGN TO PROMOTE THE SOCIAL, ENVIRONMENTAL AND ECONOMIC BENEFITS OF COMMUNITY FOREST MANAGEMENT**

**REGION: CENTRAL AMERICA AND THE CARIBBEAN**

**(D) PURPOSE OF GRANT: SYSTEMATIZING STRATEGIES FOR LAND OWNERSHIP CLARIFICATION IN INDIGENOUS TERRITORIES IN THE NORTH ATLANTIC AUTONOMOUS REGION IN NICARAGUA**

**REGION: CENTRAL AMERICA AND THE CARIBBEAN**

**(D) PURPOSE OF GRANT: PROMOTION OF A GENDER JUSTICE PERSPECTIVE AS A KEY COMPONENT OF RRI REGIONAL AND NATIONAL STRATEGIES**

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: CENTRAL AMERICA AND THE CARIBBEAN

(D) PURPOSE OF GRANT: PROMOTING EXCHANGE OF EXPERIENCES AND LESSONS  
LEARNED IN INTEGRATED FOREST MANAGEMENT & TERRITORIAL GOVERNANCE

REGION: CENTRAL AMERICA AND THE CARIBBEAN

(D) PURPOSE OF GRANT: LEVERAGING KEY INTERNATIONAL SUPPORT AT A CRITICAL  
JUNCTURE FOR INDIGENOUS RIGHTS IN PANAMA

REGION: CENTRAL AMERICA AND THE CARIBBEAN

(D) PURPOSE OF GRANT: PART 1: BUILDING CONSENSUS AND HIGHLIGHTING  
INTEGRATED NATURAL RESOURCE MANAGEMENT PROPOSALS DEVELOPED BY FOREST  
COMMUNITIES IN ADVOCACY FOR CLIMATE CHANGE AND FOREST GOVERNANCE POLICIES  
AND RELATED LEGISLATIVE PROCESSES. PART 2: DIALOGUE AND INCLUSIVE DEBATE  
ON LEGISLATIVE PROCESSES RELATED TO ENVIRONMENT, CLIMATE CHANGE AND  
FORESTS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: LEGAL AUDIT ON DISTRICT REGULATIONS TO IDENTIFY  
POLICIES THAT FAIL TO PROTECT RIGHTS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: PROMOTE DEVELOPMENT OF MARKETABLE COMMUNITY FOREST  
ENTERPRISE (CFE) PRODUCTS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: CONFLICT ANALYSIS: ACCELERATING THE DESIGN OF



**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

FORESTRY CONFLICT RESOLUTION THROUGH THE PEOPLE'S CHAMBER OF NATIONAL  
FORESTRY COUNCIL (DKN)

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: IMPROVING PUBLIC AWARENESS TO SUPPORT FOREST  
TENURE REFORM STRATEGIES IN INDONESIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: FINALIZE SECOND ROUND SURVEY ON TENURE REFORM AND  
CIRCULATE DATA, ANALYSES AND POLICY RECOMMENDATIONS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: CAPACITY-BUILDING, EDUCATION AND ADVOCACY TRAINING  
TO PAPUAN COMMUNITIES THREATENED BY FOOD AND ENERGY CONCESSIONS

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: COMMUNITY FORESTRY CHAMPIONS NETWORK: TACKLING THE  
OBSTACLES TO COMMUNITY FORESTRY IN ASIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: EXPOSURE VISITS FOR LAO COMMUNITY RESOURCE PERSONS  
TO STRENGTHEN CIVIL SOCIETY: PARTICIPATION IN ASIA REGIONAL WORKSHOP ON  
COMMUNITY FORESTRY IN VIETNAM

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: COMPONENT 1: ORGANIZING THE NEXT GENERATION OF IP  
& COMMUNITY LEADERS. COMPONENT 2: ORGANIZING AN RRI FELLOWS RETREAT

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: GLOBAL CONFERENCE OF INDIGENOUS PEOPLES ON LESSONS AND GOOD PRACTICES ON COMMUNITY PARTICIPATORY MAPPING

REGION: EUROPE

(D) PURPOSE OF GRANT: DIALOGUES WITH HUMAN RIGHTS COMMISSIONS WITHIN ASEAN FRAMEWORK TO SHARE RESEARCH AND RECOMMENDATIONS ON LSLAS

REGION: EUROPE

(D) PURPOSE OF GRANT: DEVELOP GUIDELINES FOR CLEAN AND CLEAR AND CONFLICT RESOLUTION POLICY WITHIN THE MINISTRY OF TRANSMIGRATION

REGION: EUROPE

(D) PURPOSE OF GRANT: MULTI-STAKEHOLDER CONSULTATION AND DIALOGUE OF AGRIBUSINESS AND CIVIL SOCIETY WITH LOCAL NGOS IN LIBERIA

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: CONTRIBUTING TO A RIGHTS FOCUS IN COLOMBIA NATIONAL REDD+ SOCIAL AND ENVIRONMENTAL SAFEGUARD ASSESSMENT (SESA)

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ANALYSIS OF INVESTMENT PROJECTS IN INFRASTRUCTURE, AGRIBUSINESS, ENERGY, AND EXTRACTIVE INDUSTRY IN THE BIOGEOGRAPHIC REGION OF CHOCO

REGION: SOUTH AMERICA

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

(D) PURPOSE OF GRANT: MONITORING THE ONGOING PROCESS TO CHANGE THE LEGAL STATUS OF TERRITORIAL RESERVES FOR ISOLATED INDIGENOUS PEOPLE (PIAV) TO INDIGENOUS RESERVES IN PERU

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: STRENGTHENING THE LEGAL FRAMEWORK FOR RECOGNITION OF NATIVE COMMUNITIES AND THEIR RIGHTS IN THE PUBLIC RECORDS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: RAISING AWARENESS OF TERRITORIAL RIGHTS OF NATIVE COMMUNITIES IN REDD+ NATIONAL PROCESS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: LESSONS LEARNED FROM CONCRETE EXPERIENCES OF INTEGRAL MANAGEMENT OF COMMUNITY FORESTS AS SUSTAINABLE ALTERNATIVES TO NATURAL RESOURCE MANAGEMENT

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: COMMUNICATIONS CAMPAIGN AND ADVOCACY TO SECURE TITLED TERRITORIES FOR THE COMMUNITIES OF PERU

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: GIS MAP INDICATING PRESSURES FROM INVESTMENTS IN EXTRACTIVE, ENERGY AND INFRASTRUCTURE PROJECTS IN THE BIOGEOGRAPHIC REGION OF CHOCÓ IN PANAMA, COLOMBIA, ECUADOR AND PERU

REGION: SOUTH AMERICA

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

(D) PURPOSE OF GRANT: RURAL WOMEN'S CONTRIBUTION TO THE LOCAL ECONOMY  
AND INTEGRAL MANAGEMENT OF COMMUNITY FORESTS AND NATURAL RESOURCES

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: INTEGRATED MANAGEMENT OF COMMUNITY FORESTS AS A  
SUSTAINABLE ALTERNATIVE TO NATURAL RESOURCES MANAGEMENT

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: MULTI-STAKEHOLDER DIALOGUE WITH KEY POLITICAL  
ACTORS ON FOREST SECTOR GOVERNANCE

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ANALYSIS OF ECONOMIC VALUE AND REVENUE GENERATION  
FROM TIMBER IN NEPAL'S COMMUNITY FORESTS

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: GENDER INCLUSIVE POLICY ADVOCACY IN THE FORESTRY  
SECTOR: JOINT ADVOCACY WITH WOMEN'S, IPS, LAND AND WATER RIGHTS GROUPS

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ANALYSES OF FINANCIAL REGULATIONS, CONTRADICTORY  
COMPONENTS IN ONGOING FORESTRY PROGRAMS, AND IMPACTS OF INTERNAL AND  
EXTERNAL LAND ACQUISITIONS

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ENHANCING GRASSROOTS CAPABILITY FOR MAPPING  
CUSTOMARY COMMUNITY FOREST RESOURCE AND HABITAT BOUNDARIES

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: INFORM & PREPARE AN ADVOCACY PLAN OF SME DEVELOPMENT INITIATIVES; DEVELOP ADVOCACY TO SCALE UP PROMISING PILOTS, CATALYZING NEW INVESTMENT

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: APPUI  LA PARTICIPATION DES ORGANISATIONS DE LA SOCI CIVILE AU PROCESSUS DE LA R ORME FONCI RE EN RDC

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: LEGAL ANALYSIS OF WOMEN'S PROPERTY RIGHTS WITHIN LIBERIA TO IDENTIFY OPPORTUNITIES AND CONSTRAINTS TO GENDER EQUITABLE LAND TENURE AND NATURAL RESOURCE MANAGEMENT

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ENSURE LAND AND FOREST LEGISLATION AND POLICY RECOGNIZE AND PROTECT COMMUNITY RIGHTS IN LIBERIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: PROTECT, RESPECT AND REMEDY: ENSURING THAT GOVERNMENT AND THE PRIVATE SECTOR PROTECT, RESPECT AND REMEDY RIGHTS OF LOCAL COMMUNITIES IN LIBERIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SWITZERLAND CONFERENCE ON SCALING-UP GLOBAL EFFORTS TO SECURE COMMUNITY LAND AND RESOURCE RIGHTS: AN INTERNATIONAL

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

CONFERENCE TO SHARE EXPERIENCES AND CATALYZE NEW STRATEGIES, ALLIANCES  
AND ACTION

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SUPPORT AND SCALE UP PARTICIPATORY, DECENTRALIZED  
NATURAL RESOURCE MANAGEMENT AND TO DOCUMENT THE TENURE EFFECTS OF THE  
SOCIO-POLITICAL CRISIS IN MALI AND OF THE GREAT GREEN WALL PROJECT ACROSS  
THE SAHEL

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SENSITIZE & BUILD CAPACITY FOR RURAL WOMEN LEADERS  
ON THEIR STRATEGIC POSITIONING LINKED TO THE OPERATIONALIZATION OF REDD  
IN CAMEROON

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CARRY OUT ADVOCACY WITH TRADITIONAL CHIEFS IN  
FAVOR OF LAND ACCESS FOR WOMEN & OTHER MARGINALIZED GROUPS

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: TROISIEME ATELIER REGIONAL SUR LE GENRE ET LES  
TENURES FONCIERES ET FORESTIERES EN AFRIQUE

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ANALYSE DE GENRE DES TEXTES JURIDIQUES DES  
DIFFERENTS SECTEURS CONCERNES PAR LA GESTION DES RESSOURCES NATURELLES  
POUR EVALUER LA PRISE EN COMPTE DES DROITS DES FEMMES ET CONTRIBUER A  
L'HARMONISATION DES POLITIQUES

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information.

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: STRENGTHENING CIVIL SOCIETY AND LOCAL COMMUNITY ENGAGEMENT WITH LIBERIA'S LAND TENURE REFORM PROCESS

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: STRENGTHENING COMMUNITY ENGAGEMENT WITH GOVERNMENT AND CORPORATIONS IN THE AGRICULTURE SECTOR

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: PROMOTE COMMUNITIES' RIGHTS IN PERI-URBAN ZONES, IN GROWTH POLES AND AREAS WITH MINING EXPLOITATION, THROUGH AWARENESS-RAISING AROUND COMMUNITIES RIGHTS, BETTER UNDERSTANDING OF ECONOMIC GROWTH POLES' IMPACTS ON TENURE, AND ANALYSIS OF BURKINA'S MINING POLICY WITH REGARD TO TENURE AND GENDER WITH THE AIM OF ENCOURAGING REFORM

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: BUILD LOCAL COMMUNITIES' CAPACITY AND TO SENSITIZE TERRITORIAL COLLECTIVITIES TO ADOPT PROVISIONS IN FAVOR OF SUSTAINABLE NATURAL RESOURCE GOVERNANCE

**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ **Attach to Form 990.**

▶ **Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Name of the organization **RIGHTS AND RESOURCES INSTITUTE, INC.** Employer identification number **20-3690821**

**Part I General Information on Grants and Assistance**

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  **Yes**  **No**
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

<b>1 (a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101	91-1158970	501 ( C ) 3	12,000.	0.			POLICY ADVOCACY AND AWARENESS BUILDING ON WOMEN'S FORESTLANDS RIGHTS
LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101	91-1158970	501 ( C ) 3	30,000.	0.			RECOMMENDATIONS TO DRAFT FOREST LAW TO INFLUENCE REVISION PROCESS
LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101	91-1158970	501 ( C ) 3	24,495.	0.			POLICY ADVOCACY TO IMPROVE COMPENSATION STANDARDS FOR CHINA'S FOREST CONSERVATION
LANDESA 1424 FOURTH AVE STE 300 SEATTLE, WA 98101	91-1158970	501 ( C ) 3	30,000.	0.			RESEARCH ON LARGE SCALE FORESTLAND ACQUISITIONS (LSLAS) BY CHINESE DOMESTIC COMPANIES
MALIASILI INITIATIVES PO BOX 293 UNDERHILL, VT 05489	27-3183146	501 ( C ) 3	39,500.	0.			TENURE SUMMITT PROCESS SUPPORT AND FLAGSHIP REPORT
IFRI 440 CHURCH STREET ANN ARBOR, MI 48104	38-6006309	501 ( C ) 3	69,735.	0.			POVERTY-TENURE TRACKING METHODOLOGY

- 2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **▶** \_\_\_\_\_
- 3** Enter total number of other organizations listed in the line 1 table **▶** \_\_\_\_\_

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule I (Form 990) (2013)

**SEE PART IV FOR COLUMN (H) DESCRIPTIONS**



**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
IFRI 440 CHURCH STREET ANN ARBOR, MI 48104	38-6006309	501 ( C ) 3	50,521.	0.			ADVANCING CAUSAL INFERENCE ON RESEARCH ON FOREST COMMONS: INVESTIGATING CONTEXT AND
PIVOT POINT 690 E COLONEL WRIGHT RD SHELTON, WA 98584	46-2378514	501 ( C ) 3	300,000.	0.			CONSTRUCTION OF A QUALITATIVE ASSESSMENT FRAMEWORK TO MEASURE TENURE RISK

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT: LANDESA

(H) PURPOSE OF GRANT OR ASSISTANCE: POLICY ADVOCACY TO IMPROVE

COMPENSATION STANDARDS FOR CHINA'S FOREST CONSERVATION PROGRAM

NAME OF ORGANIZATION OR GOVERNMENT: IFRI

(H) PURPOSE OF GRANT OR ASSISTANCE: ADVANCING CAUSAL INFERENCE ON

RESEARCH ON FOREST COMMONS: INVESTIGATING CONTEXT AND MECHANISMS FOR

ENHANCED LIVELIHOOD DEVELOPMENT AND FOREST CONSERVATION

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No. 1545-0047

**2013**

Open to Public Inspection

Name of the organization

**RIGHTS AND RESOURCES INSTITUTE, INC.**

Employer identification number

**20-3690821**

**Part I Questions Regarding Compensation**

	Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <input type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input type="checkbox"/> Tax indemnification and gross-up payments <input type="checkbox"/> Discretionary spending account <input type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<b>b</b> If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain .....	<b>1b</b>	
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a? .....	<b>2</b>	
<b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <input type="checkbox"/> Compensation committee <input type="checkbox"/> Independent compensation consultant <input checked="" type="checkbox"/> Form 990 of other organizations <input type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee		
<b>4</b> During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: <b>a</b> Receive a severance payment or change-of-control payment? .....	<b>4a</b>	X
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan? .....	<b>4b</b>	X
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement? .....	<b>4c</b>	X
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
<b>Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.</b>		
<b>5</b> For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: <b>a</b> The organization? .....	<b>5a</b>	X
<b>b</b> Any related organization? .....	<b>5b</b>	X
If "Yes" to line 5a or 5b, describe in Part III.		
<b>6</b> For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: <b>a</b> The organization? .....	<b>6a</b>	X
<b>b</b> Any related organization? .....	<b>6b</b>	X
If "Yes" to line 6a or 6b, describe in Part III.		
<b>7</b> For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III .....	<b>7</b>	X
<b>8</b> Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III .....	<b>8</b>	X
<b>9</b> If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? .....	<b>9</b>	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2013

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) THOMAS A WHITE PRESIDENT AND RRI COORDINATOR	(i)	179,287.	2,535.	0.	12,728.	27,838.	222,388.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) ARVIND KHARE EXECUTIVE DIRECTOR	(i)	130,298.	18,860.	0.	10,441.	11,322.	170,921.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) AUGUSTA MOLNAR SR. DIRECTOR, COUNTRY AND REGIONAL P	(i)	137,356.	0.	0.	9,615.	10,970.	157,941.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**PART I, LINE 7:**

EXPLANATION: SENIOR MANAGEMENT IS ELIGIBLE FOR BONUSES OF UP TO 5% OF  
 SALARY, BASED ON THE ANNUAL PERFORMANCE RATING AS DETERMINED BY THE  
 PRESIDENT. THE PRESIDENT'S PERFORMANCE RATING IS DETERMINED BY A COMMITTEE  
 OF THE BOARD. ADDITIONAL LEAVE MAY BE AWARDED IN LIEU OF CASH, BASED ON A  
 PREDETERMINED VALUATION OF LEAVE FOR EACH LEVEL OF PERFORMANCE RATING.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No. 1545-0047

**2013**

Open to Public  
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION

TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO

OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND

FORESTS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESOURCES, ESPECIALLY LAND AND FORESTS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

OF RECOMMENDATIONS FOR THE FOREST LAW REVISION, AND TO REDD ON GENDER

AND RIGHTS, AND IS ENGAGED IN THE LAND REFORM DIALOGUE; E) AIDSESEP WITH

SUPPORT FROM FPP AND COLLABORATORS HAS SUCCESSFULLY RAISED THE ISSUE OF

INDIGENOUS PEOPLES IN VOLUNTARY ISOLATION (PIAV) AND PERU IS IN THE

PROCESS OF CREATING TWO NEW RESERVES FOR IDENTIFIED PIAV; F) THE

BASELINE STUDY IN THE DRC HAS ADVANCED AND IS EXPECTED TO BE COMPLETE

IN 2014 WHILE ENGAGEMENT OF CIVIL SOCIETY AND GOVERNMENT AROUND THE

LAND REFORM HAS ADVANCED PARTICIPATION AND POSITIONED RRI TO ENGAGE

FORMALLY IN DRC WITH A STRATEGY FOR 2014.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

COMPANIES TO BETTER LEVERAGE PRIVATE SECTOR INTERESTS IN SECURING

COMMUNITY LAND RIGHTS; C) SOME PROGRESS WAS MADE ON ENGAGING FLEGT AT

THE COUNTRY LEVEL, BUT ADVANCING VPAS IN NEW COUNTRIES AND IMPLEMENTING

AGREED REFORMS IN VPA COUNTRIES ARE STILL VERY SLOW PARTICULARLY IN

AFRICA. RRI SUPPORTED FOREST TRENDS TO ASSESS THE PERFORMANCE OF FLEGT

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IN ADVANCING FOREST TENURE REFORMS AND RRI CONDUCTED WHAT WAS WIDELY ASSESSED AS A VERY USEFUL CONFERENCE IN LATIN AMERICA (LA) ON THE LESSONS LA COULD LEARN FROM AFRICA AND ASIA. RRI PARTNERS AND COLLABORATORS ARE NOW DIRECTLY INVOLVED IN THE REDD PROCESSES IN EVERY COUNTRY WHERE RRI IS ACTIVE. D) RRG COMPLETED NEW INVENTORIES OF AGRIBUSINESS, EXTRACTIVE AND INFRASTRUCTURE COMPANIES AND INVESTMENTS IN LIBERIA AND CAMEROON, AND IS WORKING ON REPORTS ON LAOS, MYANMAR, AND PERU. RRI AND AAS PREPARED A REPORT ON IIRSA AND EXTRACTIVE INDUSTRIES IN FOREST AREAS IN COLOMBIA, PERU, PANAMA AND GUATEMALA, AND DISCUSSED FINDINGS WITH REPRESENTATIVES OF THE PRIVATE SECTOR IN THE MARCH 2013 DIALOGUE IN COLOMBIA. RRI COLLABORATORS FINALIZED A DRAFT MAP OF THE MEGAPROJECTS IN THE COASTAL CHOCØREGION (PANAMA, COLOMBIA, ECUADOR AND PERU), AND COMPLETED NEW ANALYSES OF STORA ENSO AND APP LAND ACQUISITIONS IN GUANGXI, CHINA. FINAL REPORTS FOR THE CHOCO, STORA ENSO AND APP WILL BE AVAILABLE IN EARLY 2014. E) RRI, WITH THE MUNDEN PROJECT, ALSO COMPLETED NEW RESEARCH QUANTIFYING THE FINANCIAL RISKS OF INSECURE TENURE, AND ON THE REASONS WHY TENURE RISK IS NOT PRESENTLY INSURABLE. THIS WAS LAUNCHED AT THE INTERLAKEN CONFERENCE AND RECEIVED SUBSTANTIAL ATTENTION IN THE FINANCIAL PRESS. THE INSURABILITY PAPER WILL BE LAUNCHED IN EARLY 2014. MEETINGS WITH SELECTED INSTITUTIONAL INVESTORS AND LEADING AGRIBUSINESS AND MINING COMPANIES DEMONSTRATED GREATER WILLINGNESS THAN EXPECTED TO ADOPT NEW SCREENS AND STANDARDS AGAINST THESE RISKS, AND WORK IS UNDERWAY WITH SEVERAL OF THEM TO ADVISE THEM; E) RRI HAS COMPLETED A 5 YEARLY UPDATING OF ITS TENURE DATA, COMPLETED THE ANALYSIS, AND WILL LAUNCH THE REPORT IN 2014.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

SUCCESSFUL, INCLUDING LAUNCHING A NEW GLOBAL TARGET, ESTABLISHING THE

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NEW ALLIANCE FOR COMMUNITY LAND RIGHTS, AND ESTABLISHING WORKING GROUPS OF LEADING ORGANIZATIONS ON THE KEY STRATEGIES TO SCALE UP GLOBAL EFFORT, INCLUDING MAPPING, LEGAL REFORMS, LEVERAGING THE PRIVATE SECTOR, CONSERVATION, AND ENGAGING INTERNATIONAL PROCESSES.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

COMMUNICATIONS AND OUTREACH

THE COMMUNICATIONS TEAM AMPLIFIED COLLECTIVE EFFORTS BY INCREASING COLLABORATION BETWEEN RRG AND RRI COMMUNICATIONS FOCAL POINTS (CFP) BY HOSTING THE SECOND COALITION-WIDE COMMUNICATIONS PLANNING MEETING TO DEVELOP COALITION WIDE COMMUNICATIONS STRATEGY WITH RRI PARTNERS AND KEY REGIONAL COLLABORATORS. FOLLOW UP FROM THE SECOND COALITION-WIDE COMMUNICATIONS MEETING LED TO MONTHLY CFP WEBEX MEETINGS FOCUSED ON SHARING, CAPACITY BUILDING, AND DEVELOPMENT OF THE FIRST EVER RRI COALITION-WIDE COMMUNICATIONS STRATEGY; USING OUR BETTER COORDINATED NETWORK TO SUPPORT EFFORTS AROUND THE INTERLAKEN CONFERENCE, RRI GENERATED 18,000 INDIVIDUAL FACEBOOK ENGAGEMENTS SPANNING 41 COUNTRIES; 700 TWEETS USING #LANDRIGHTSNOW, CREATING 697,142 IMPRESSIONS ON TWITTER; 8 INDIVIDUAL BLOG POSTS FROM MEMBERS OF THE RRI COALITION; AND 12,000 VIEWS & 4,880 LIKES OF THE YOUTUBE VIDEO PRODUCED.

RRI FINDINGS AND GRAPHICS ARE AVAILABLE ONLINE, ADOPTED AND USED BY OTHER ORGANIZATIONS: A) OVER 480 NEWS HITS HIGHLIGHTING RRI FINDINGS ACROSS 27 COUNTRIES IN 13 LANGUAGES (UP FROM 21 COUNTRIES AND 11 LANGUAGES IN 2012), INCLUDING THE INTERNATIONAL HERALD TRIBUNE, ASSOCIATED PRESS, BBC, THE GUARDIAN, REUTERS ALERTNET, AL JAZEERA, INTER PRESS SERVICE, AGENCIA EFE; LE MONDE; RADIO FRANCE INTERNATIONALE, DEUTSCH-WELLE; MONGABAY, O@LOBO; HUFFINGTON POST;



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BANGKOK POST; THE JAKARTA POST; US NEWS & WORLD REPORT; WASHINGTON POST; ECONOMIC TIMES INDIA AND BLOOMBERG NEWS; B) 204 WEBSITE VISITS PER DAY (20% INCREASE, WITH VISITS FROM 15 NEW COUNTRIES); C) 300% INCREASE IN SOCIAL MEDIA ENGAGEMENT (FACEBOOK, TWITTER, LINKEDIN.

#### NETWORK SUPPORT

RRI SUPPORTED AND STRENGTHENED SEVERAL CONSTITUENCY-ORIENTED NETWORKS TO BETTER PROMOTE AND INFORM TENURE REFORMS AND TAKE ACCOUNT OF COMMUNITY RIGHTS IN REGIONAL AND GLOBAL INITIATIVES: A) ORGANIZED THE 8TH ANNUAL MEETING OF MEGAFLORESTAIS WHICH GATHERED 25 LEADERS OF PUBLIC AGENCIES REPRESENTING 50% OF THE WORLD'S FOREST IN BALI, INDONESIA IN OCTOBER TO DISCUSS THE ARCHITECTURE OF FOREST GOVERNANCE IN THE 21ST CENTURY B) EXPOSED PROMISING SENIOR OFFICIALS FROM 8 MEGAFLORESTAIS AGENCIES TO TENURE REFORMS OPTIONS, MARKET CHANGES, COMMUNITY FORESTRY, INDIGENOUS PEOPLES' RIGHTS, AND LEADERSHIP CHALLENGES DURING THE 4TH CONVENING OF THE NEXT GENERATION OF FOREST AGENCY LEADERS SEMINAR IN OAXACA, MEXICO IN MAY; C) EXPOSED GOVERNMENT OFFICIALS AND CIVIL SOCIETY REPRESENTATIVES OF 8 COUNTRIES CURRENTLY LOOKING INTO OR DESIGNING FOREST TENURE REFORMS TO MONTANA'S APPROACH TO FOREST REGULATIONS IN WESTERN MONTANA, USA IN JULY; D) CO-ORGANIZED A PANEL OF THE CIVIL SOCIETY ADVISORY GROUP TO ITTO (CSAG) ON CHALLENGES AND OPPORTUNITIES FOR SUPPORTING LEGAL SMALLHOLDER AND COMMUNITY FORESTRY ENTERPRISES IN TODAY'S CONTEXT DURING THE 49TH MEETING OF ITTC IN NOVEMBER IN GABON; E) ESTABLISHED RRI'S GENDER JUSTICE STRATEGY DURING THE GENDER SCOPING MEETING, HELD IN NOVEMBER IN WASHINGTON DC, USA.

RRI NETWORKING SUPPORT PROGRAM BUILT SYNERGIES AND CONTRIBUTED TO

Name of the organization RIGHTS AND RESOURCES INSTITUTE, INC.	Employer identification number 20-3690821
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CAPACITY-BUILDING BY SUPPORTING EXCHANGES AND STUDY TOURS FOR TARGETED AUDIENCES: A) PROMOTED THE EXCHANGE OF IDEAS AND EXPERIENCES ON ACCESSING FLEGT PROCESSES TO PROMOTE RIGHTS AND TENURE AGENDA DURING THE INTERNATIONAL WORKSHOP (OCTOBER 2013) ON OPPORTUNITIES AND LESSONS FOR A RIGHTS BASED PERSPECTIVE ON FLEGT AND VPA PROCESSES IN LATIN AMERICA. B) SUPPORTED A VISIT OF THE CHINESE ACADEMY OF FORESTRY IN CAMEROON IN OCTOBER. DURING THIS FIELD RESEARCH THEY ANALYZED COMPLIANCE WITH NATIONAL FOREST AND LAND TENURE POLICY, CAMEROON INTERNATIONAL HUMAN RIGHTS COMMITMENTS, CHINESE INVESTMENT GUIDELINES, AND INDUSTRY BEST PRACTICES.

#### COORDINATION

A NEW, SIMPLIFIED M&E SYSTEM WAS ESTABLISHED, BUT HAS NOT YET BEEN USED TO PROMOTE LEARNING BETWEEN PARTNERS. A REVIEW OF THE COALITION WAS INITIATED, AND EVEN COMPLETED BOTH IN IN TERMS OF THE MID-CYCLE REVIEW OF THE MEMORANDUM OF UNDERSTANDING AND THE ASSESSMENT OF HOW BEST TO ORGANIZE.

THE 2013 INDEPENDENT MONITORING OF THE RRI HAS BEEN INITIATED. A DRAFT REPORT WAS SUBMITTED AND CIRCULATED TO DONORS. A FINAL REPORT WILL BE SUBMITTED IN 2014. COUNTRY, REGIONAL AND GLOBAL PLANNING MEETINGS HELD WITH BROAD PARTICIPATION FROM RRI PARTNERS, COLLABORATORS, FELLOWS AND OTHER RESOURCE PERSONS.

EXPENSES \$ 2,107,565. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THIS RETURN HAS BEEN SUBMITTED FOR THE REVIEW OF RRG'S BOARD OF DIRECTORS. THE TREASURER OF THE BOARD REVIEWED THE RETURN IN DETAIL,

Name of the organization RIGHTS AND RESOURCES INSTITUTE, INC.	Employer identification number 20-3690821
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REFERENCING THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS FOR THE YEAR. THE BOARD REVIEWED THE RETURN IN SUMMARY WITH INPUT FROM THE TREASURER, PRESIDENT, EXECUTIVE DIRECTOR, AND DIRECTOR OF FINANCE AND ADMINISTRATION. QUESTIONS ABOUT SPECIFIC ITEMS OR SECTIONS ASKED AND ANSWERED WITH SUPPLEMENTAL DETAIL PROVIDED AS APPROPRIATE.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: DIRECTORS AND OFFICERS ARE REQUIRED TO REVIEW THE CONFLICT OF INTEREST POLICY ANNUALLY AND TO SIGN ANNUALLY THEIR COMMITMENT TO ABIDE BY THE POLICY. THE POLICY REQUIRES THAT ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST BE COMMUNICATED TO THE BOARD AS SOON AS THEY ARE KNOWN, AND THE FACTS AND BOARD DECISIONS CONCERNING THE CONFLICT BE DOCUMENTED IN THE MINUTES OF THE MEETINGS OF THE BOARD. THE BOARD AND OFFICERS ASSESS AT LEAST ANNUALLY WHETHER ALL OFFICERS AND DIRECTORS ARE IN COMPLIANCE WITH THE POLICY BASED ON ALL FACTS KNOWN TO THE BOARD AND OFFICERS. AN AUDIT OF THE POLICY AND ITS IMPLEMENTATION WAS UNDERTAKEN IN LATE 2011 BY AN INDEPENDENT CONSULTANT, AND RECOMMENDATIONS FOR IMPROVEMENTS AND ENSURING RIGOROUS ADHERENCE TO THE POLICY AND COMPREHENSIVE DOCUMENTATION OF THIS ADHERENCE WERE IMPLEMENTED IN 2012.

FORM 990, PART VI, SECTION B, LINE 15A:

EXPLANATION: THE PRESIDENT IS THE TOP MANAGEMENT OFFICIAL OF THE ORGANIZATION. AN ASSESSMENT OF OTHER NON-PROFIT ORGANIZATIONS IS PERIODICALLY UNDERTAKEN BASED ON COMPENSATION FIGURES REPORTED ON IRS 990 RETURNS FROM COMPARABLE ORGANIZATIONS AND OTHER SOURCES OF COMPENSATION INFORMATION AT THE REQUEST OF THE BOARD. ASSESSED ORGANIZATIONS ARE SELECTED TO MOST CLOSELY RESEMBLE RRG IN TERMS OF BUDGET, NUMBER OF EMPLOYEES, COMPLEXITY OF PROGRAMS, ROLE, EXPERTISE, AND GEOGRAPHIC

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LOCATION. IN A SESSION CLOSED TO THE PRESIDENT, THE BOARD REVIEWS THE ASSESSMENT, THE PERFORMANCE OF THE PRESIDENT, AND MAY CONSIDER OTHER RELEVANT FACTORS IN DETERMINING WHETHER A SALARY ADJUSTMENT IS WARRANTED, WHICH IS THEN SUBJECT TO VOTING BY THE BOARD. THIS PROCESS WAS LAST UNDERTAKEN IN JANUARY 2013.

FORM 990, PART VI, SECTION C, LINE 19:

EXPLANATION: RRG POSTS THE AUDITED FINANCIAL STATEMENTS ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO BEST PRACTICES IN TRANSPARENCY AND ACCOUNTABILITY.