

**Grading for ER-PIN Assessments:**

Colour	Qualification	Analysis
Green	The indicator is clearly addressed and supported by country stakeholders and other sources of evidence;	Reasons for attributed grade should be clearly stated and supported by evidence.
Yellow	The indicator is partially addressed, ER-PIN claims are contested, and/or supporting evidence is weak;	
Red	The indicator is not addressed or is mentioned but not defined/explained, nor supported by evidence and local stakeholder input.	

**Reasons**

**Section 1: ADVANCING INDIGENOUS AND COMMUNITY RIGHTS TO LAND AND FORESTS:** To what extent does the proposed emission reduction program protect and advance the rights of indigenous peoples and local communities to land and forests within REDD+ systems and processes?

**1) Stakeholder participation in ER-PIN planning and ERP design**

Indicators	ER-PIN Assessment
<i>1a) The ER-PIN design process shows evidence of full and effective engagement of locally affected populations and vulnerable groups, including women, indigenous peoples and local communities</i>	
<i>1b) The ER-PIN presents a clear and realistic approach for ensuring IP/LC involvement in the design and implementation of the full ER Program</i>	

**2) Land and forest tenure**

Indicators	ER-PIN Assessment
<i>2a) Robust tenure assessments (i.e., assessment takes into account customary and legal land hold, disputed areas, challenges, etc) in the geographical area of the proposed ER Program were conducted as part of the SESA</i>	
<i>2b) Land and resource tenure assessments were publically vetted and endorsed by all concerned stakeholders;</i>	
<i>2c) The land use / land tenure context of the proposed ER Program, including implementation risks (conflicting claims) and available arbitration processes is well defined.</i>	
<i>2d) The process for addressing emerging land and forest tenure issues (e.g., conflicting claims, tenure insecurity for IP/LC and women) is clearly defined in the ER-PIN</i>	

**3) Assignment of rights to forest carbon**

Indicators	ER-PIN Assessment
<i>3a) The legal framework for carbon rights is defined in the ER-PIN</i>	
<i>3b) The process for dealing with contested and/or overlapping land, forest and carbon claims is defined.</i>	
<i>3c) The legal basis for the transfer of ER titles is defined.</i>	

**4) Equitable benefit sharing and non carbon benefits**

Indicators	ER-PIN Assessment

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<i>4a) The ER-PIN presents a transparent and verifiable process to equitably share carbon-related benefits (e.g., REDD+ revenue streams).</i>	
<i>4b) The ER-PIN presents a transparent and verifiable process to prioritize and equitably share non-carbon benefits (e.g., alternative business models and revenue streams).</i>	
<i>4c) The benefit sharing mechanisms outlined in the ER-PIN are broadly supported by indigenous and forest dependent communities, including women.</i>	

**5) Alignment of ERP strategies with main drivers of deforestation**

<b>Indicators</b>	<b>ER-PIN Assessment</b>
<i>5a) The drivers of deforestation and forest degradation identified in the ER-PIN are consistent with expert analyses and local stakeholder input.</i>	
<i>5b) Challenges to overcoming identified drivers (i.e., technical, institutional, political or economic) are clearly defined.</i>	
<i>5c) Proposed ER Program interventions specifically target the main drivers and their related challenges.</i>	

**6) Safeguards framework**

<b>Indicators</b>	<b>ER-PIN Assessment</b>
<i>6a) The country has completed its SESA and elaborated an ESMF (yes/no; if yes then consider b through e; if no, got to 6f)</i>	
<i>6b) The assessment of social and environmental considerations was conducted in a transparent and participatory manner.</i>	
<i>6c) FPIC is presented as a requirement of the ESMF.</i>	
<i>6d) The EMSF identifies key steps, including budget and timeline, to strengthen forest governance issues within the national legal framework</i>	
<i>6e) The ER-PIN presence a credible grievance/dispute resolution mechanism, based on the FGRM assessment,.</i>	
<i>6f) The ER-PIN describes the design of the Safeguard Information System and its application in the context of the ER-P.</i>	
<i>6g) Proposed safeguards adequately address the land and resource rights of indigenous peoples, forest communities and women</i>	

**Section 2: BROADER GOVERNANCE CHALLENGES FOR ADDRESSING DEFORESTATION DRIVERS:** To what extent does the proposed emission reduction program consider other key forest governance challenges for achieving REDD+?

**7) Government capacity and coordination in ERP planning and implementation**

<b>Indicators</b>	<b>ER-PIN Assessment</b>
<i>7a) The ER-PIN shows evidence of coordination across sectors, line ministries, and levels of government (local, sub-national, national).</i>	
<i>7b) The ER-PIN identifies opportunities for community-based interventions, including natural resource management, tenure recognition, and other dedicated REDD+ investments.</i>	
<i>7c) The ER-PIN discusses efforts to combat corruption and the measures that will be used in the ER Program</i>	

**8) Transparent monitoring and oversight of REDD+**

<b>Indicators</b>	<b>ER-PIN Assessment</b>
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<p><i>8a) The ER-PIN shows evidence of an open-access information management systems for REDD+</i></p>	
<p><i>The ER-PIN proposes mechanisms to independently and transparently:</i></p> <ul style="list-style-type: none"> <li>- <i>8b) Monitor the social and environmental impacts of REDD+ investments;</i></li> <li>- <i>8c) Monitor the application of safeguards via the Safeguard information system</i></li> <li>- <i>8d) Monitor the effectiveness of governance-related interventions;</i></li> <li>- <i>8e) Track and coordinate international finance flows;</i></li> <li>- <i>8f) Monitor the distribution of carbon and non-carbon benefits</i></li> </ul>	

**Section 3: OVERALL ASSESSMENT**

<b>Indicators</b>	<b>ER-PIN Assessment</b>
<p><i>9a) The proposed emission reduction program protects and advances the rights of indigenous peoples and local communities to land and forests within REDD+ systems and processes.</i></p>	
<p><i>9b) The proposed emission reduction program presents a credible plan for addressing governance issues at national and sub-national levels, and establishes clear processes for monitoring progress on critical risk factors.</i></p>	